

Spiral arrangement: From nanostructures to packaging

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Although the orthogonal systems are predominantly employed within modern technologies, some general systems can be found principally in nature. Progress in the field of nanotechnologies based on a condensed matter will reach its limit at a certain moment, which may be caused by material limits or limits of manufacturing technologies. This limitation will affect both approaches, the top-down as well as the bottom-up. Another way to obtain a nanostructure will be based purely on nature and its ability to grow, which requires a deep understanding of the world of biology. This natural approach is closely connected with a precise mathematical description which is necessary for employment of both the analytical and synthetic tools which are presently available within the frame of current technological methods. In this paper, we present an analysis of a model based on a spiral arrangement on a series of elements.

Key words: electron beam lithography, phyllotaxis, spiral arrangement, parastichy

1 Introduction

The arrangement comes originally from biology where the placement of seeds in the pseudanthium is studied within the field called Phyllotaxy. As a result, the phyllotactic model describes the positions of seeds in a flower head, which are, in our case, the positions of optical elements created by the e-beam lithography on the substrate. The seed positions in polar coordinates can be described by an equation presented by Vogel [1]

$$\{r_k; \varphi_k\} = \{ck^{0.5}; k\varphi_0\} \quad (1)$$

where k is the rank of a seed in the sequence, c is the scale factor and φ_0 is the angular factor.

Among other noticeable features, there are two sets of secondary spirals, also known as *parastichies*, one turning clockwise, the other counterclockwise, which are composed of the nearest neighboring seeds. Although there

are still many aspects that should be further justified, Vogels equation correctly describes the arrangement of seeds visible in an actual flower head, Fig. 1(a). For this reason, this model attracts attention not only to biologists and mathematicians but also has been used for various purposes in the past decade, *eg* for the mirror distribution pattern for *Gemasolar* solar plant located in Spain or for benchmarking pattern for e-beam lithography [2-4]. When the structure is created within the nanometer scale, the situation becomes even more interesting as there are many diffraction-related effects [5] taking place and the structure shows an interesting behavior from the point of view of reflection, refraction, and absorption, Fig. 1(b), [6]. The topic has already been solved from the point of view of photonics, discussing mainly the scattering properties of the three main types of deterministic aperiodic spiral arrays of Au nanoparticles and also studying the

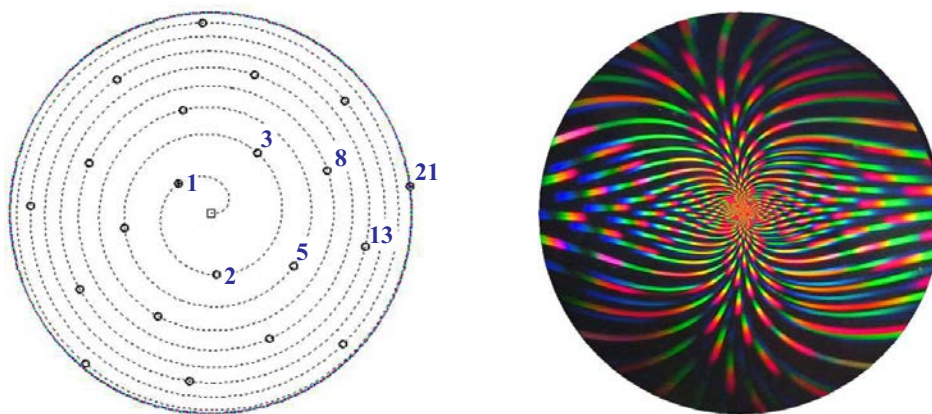


Fig. 1. (a) – Vogels model, and (b) – diffractive pattern of the planar optical phyllotactic arrangement showing higher-order diffraction, a picture of the real sample

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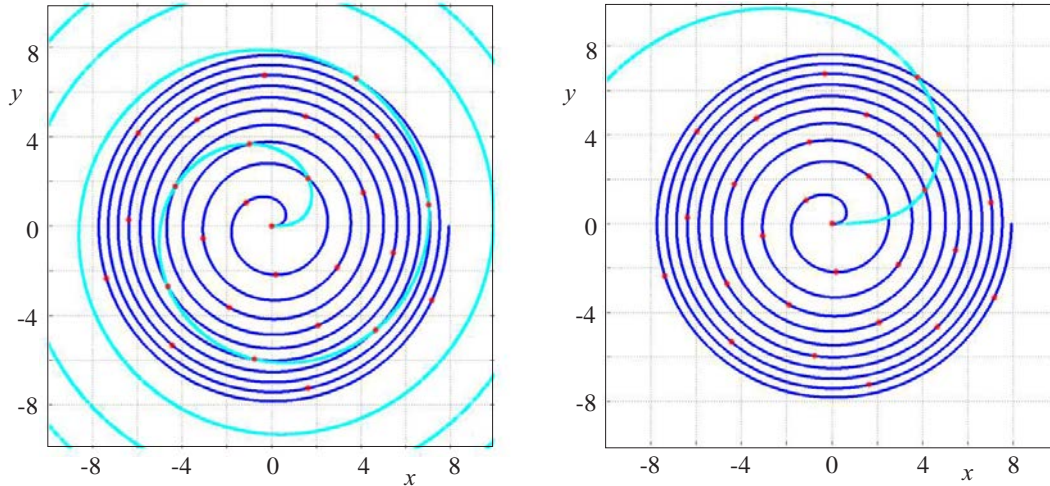


Fig. 2. (left)– illustration of the secondary spiral for $F_n = 3$, (right) – illustration of the secondary spiral for $F_n = 8$

Table 1. Numerical results achieved by equations 7-12

F_n		a_{F_n}		$1/a_{F^2}$	
Even	Odd	Even	Odd	Even	Odd
3	-	2.8025	-	0.1273	-
-	5	-	4.6022	-	-0.0472
8	-	7.4049	-	0.0182	-
-	13	-	12.0072	-	-0.0069
21	-	19.4121	-	0.0027	-
-	34	-	34.4193	-	-0.0010

circular symmetry in continuous Fourier space of Vogel's spirals [7].

1 Secondary spirals

Considering a trajectory of the primary spiral described by (1), where

$$\varphi = t \quad (2)$$

$$r = a\sqrt{t} \quad (3)$$

Consequently, a sampling of the spiral t_0 is taken into account and expressed as

$$t_0 = \frac{2\pi}{\Phi^2}, \quad \Phi = \frac{1 + \sqrt{5}}{2} \quad (4)$$

and where the samples coordinates are expressed by

$$\varphi_k = kt_0 \quad (5)$$

$$r_k = a\sqrt{kt_0} \quad (6)$$

We are looking for a function whose trajectory goes through a selected subset of the samples. Let us consider

each F_n -th point, where F_n can get a value of an even term of a progression $\{1, 1, 2, 3, 5, 8, 13, 21, 34, \dots\}$ starting with the 4-th term, thus F_n is $\{3, 8, 21, 55, 144, \dots\}$. The desired function can be viewed from two perspectives: either the trajectory unwinds more slowly in angular terms, where

$$\varphi_{F_n} = \frac{1}{a_{F_n}^2} t \quad (7)$$

$$r_{F_n} = a\sqrt{t} \quad (8)$$

or the radius grows faster, where

$$\varphi_{F_n} = t \quad (9)$$

$$r_{F_n} = a_{F_n} a\sqrt{t} \quad (10)$$

Let us consider the first mentioned expression (7) and (8). Considering a sample F_n which is located at the angle $\varphi_{k=F_n} = F_n t_0$ of the primary spiral. For the secondary spiral, this angle is decreased by a whole-number multiple of 2π . It can be demonstrated that this integer multiple of F_{n-2} equals to: $\varphi_{F_n} = \varphi_{k=F_n} - 2\pi F_{n-2}$. The ratio of these two angles determines the velocity of rotation expressed by a coefficient in (7)

$$\begin{aligned} \frac{\varphi_{k=F_n}}{\varphi_{F_n}} &= \frac{F_n t_0}{F_n t_0 - 2\pi F_{n-2}} = \\ &= \frac{1}{1 - \frac{2\pi F_{n-2}}{F_n t_0}} = \frac{1}{1 - \frac{2\pi F_{n-2}}{F_n \frac{2\pi}{\Phi^2}}} = \\ &= \frac{1}{1 - \frac{F_{n-2}}{F_n} \Phi^2} = a_{F_n}^2 \end{aligned} \quad (11)$$

A ratio of the velocity increase (described by a coefficient in (10) is expressed by

$$a_{F_n} = \frac{1}{\sqrt{1 - \frac{F_{n-2}}{F_n} \Phi^2}} \quad (12)$$

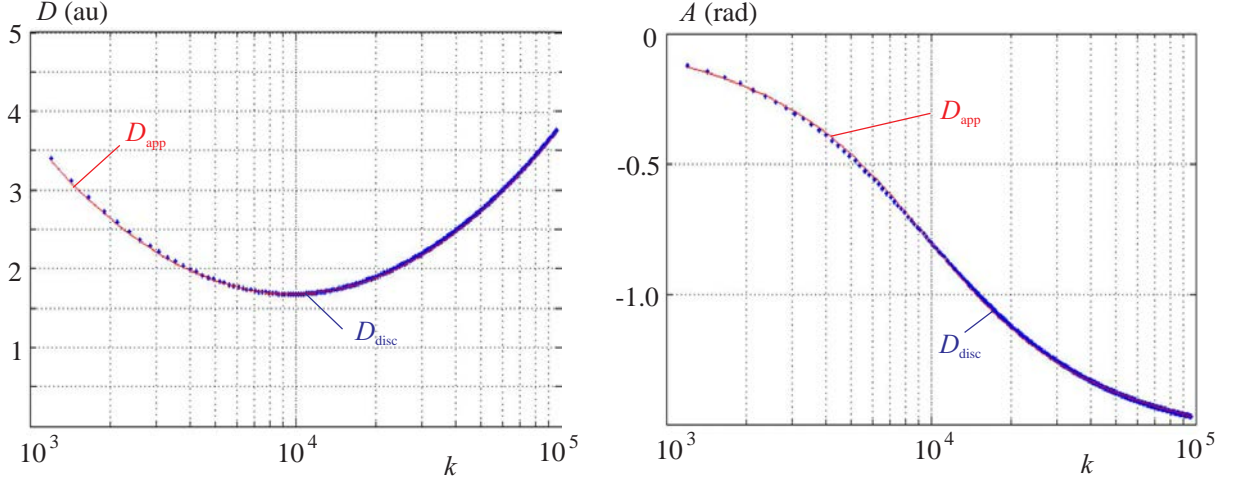


Fig. 3. Distance of adjacent seeds and inclination of the secondary spiral, $n = 13, F_n = 233$

For the even terms of Fibonacci progression (starting with the 3rd term) $\{2, 5, 13, 34, 89, \dots\}$, the rotation direction is opposite: $\varphi_{F_n} = -\frac{1}{a_{F_n}}t$ according to (7) or more precisely $\varphi_{F_n} = -t$ according to (9). Correspondingly, the absolute value should be considered for the (7), yielding

$$a_{F_n} = \frac{1}{\sqrt{|1 - \frac{F_{n-2}}{F_n}\Phi^2|}}.$$

The numerical results are illustrated in the following Tab. 1 and in Fig. 2 for $F_n = 3$ and $F_n = 8$.

3 Analysis of the interelement positions

Discrete calculation based on a x, y coordinates of the primary spiral elements which are located between k -th element and an adjacent $(k + F_n)$ -th element along the secondary spiral (sampled F_n)

$$D_{dis} = \sqrt{(x_{k+F_n} - x_k)^2 + (y_{k+F_n} - y_k)^2} \quad (13)$$

An approximated continuous function $D_{app} = c_2\xi^2 + c_1$, with a substitution of $\xi = \log(k/k_{\text{char}F_n})^2$, where

k stands for an element number within the sampled primary spiral, $k_{\text{char}F_n}$ is a characteristic element of the secondary spiral (the minimal distance between neighboring elements along the secondary spiral and also a slope of the secondary spiral towards the position vector, approximately 45 degrees)

$$k_{\text{char}F_n} = F_n^2 \frac{f_m}{2\pi}$$

and with coefficients

$$c_1 = \sqrt{\frac{\pi}{f_m}}, \quad f_m = \frac{\Phi + \Phi^{-1}}{2}$$

$$\Phi = 1 + \frac{\sqrt{5}}{2}, \quad c_2 = \frac{\Phi}{\pi}$$

3.1 Slope of the secondary spiral towards the position vector

Discrete slope of the connecting line between the k -th element and the $(k + F_n)$ -th element (thus towards the position vector of the spiral in a particular element (thus towards the angular coordinate of the k -th element)

$$A_{\text{dis}} = \arctan \frac{y_{k+F_n} - y_k}{x_{k+F_n} - x_k} - \varphi_k \quad (14)$$

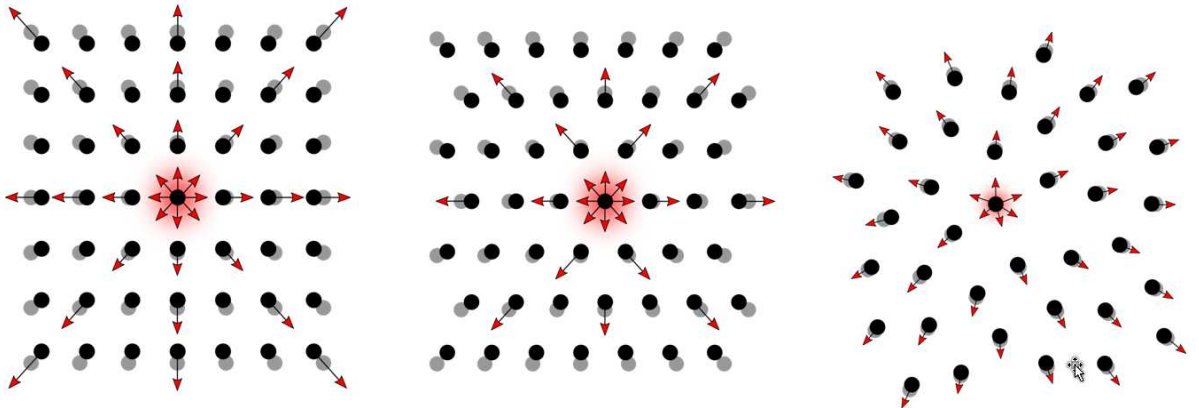


Fig. 4. A simplified analysis of the heat distribution within: (a) – the common orthogonally ordered package, (b) – hexagonally ordered package, and (c) – non-orthogonal arrangement based on the phyllotactic model

Approximation continuous function

$$A_{\text{app}} = c_3 \arctan \xi + c_4$$

$$\text{with coefficients: } c_3 = \frac{1}{\Phi}, c_4 = \frac{\pi}{4}$$

the substitution remains the same for (13). Numerically, the coefficients equal: $c_3 = 0.6180$, $c_4 = 0.7854$. Situation is illustrated in Fig. 3.

4 Phyllotaxy pin distribution of packages

A possibility of pin distribution of packages that are intended for integrated circuits within the microelectronics field and contain an extensive number of pins, based on the phyllotactic model is presented in Fig. 4. Based on the simplified analysis, the phyllotactic arrangement of pins, Fig. 4(b), may provide lower stress induced due to thermal expansions in comparison to a common orthogonal system of pin placement, Fig. 4(a). The presented mathematical description of the secondary spirals allows to compare the numerical model and the exact analytical description of the thermal flow in package.

4 Conclusion

The paper deals with the mathematical description of some aspects of the phyllotaxy arrangement of elements based on the Vogels model of phyllotactic structures. The secondary spirals were described, the interelement positions along the spirals and slope of the secondary spirals were analyzed. The rigorous mathematical analysis and description of the phyllotaxy allows for a future use of this natural model in a wide spectra of devices from the macro world to nanotechnologies.

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