Phase Field Study of Microstructure Evolution in Eutectoid Phase Transformation – I Nucleation

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Abstract

Eutectoid growth, as the important reaction mechanism of the carbon steel heat treatment, is the basis to control the microstructure and performance. At present, most studies have focused on lamellar growth, and did not consider the nucleation process. Mainly due to the nucleation theory is inconclusive, a lot of research can support their own theory in a certain range. Based on the existing nucleation theory, this paper proposes a cooperative nucleation model to simulate the nucleation process of eutectoid growth. In order to ensure that the nucleation process is more suitable to the theoretical results, different correction methods were used to amend the model respectively. The results of numerical simulation show that when the model is unmodified, the lateral growth of single phase is faster than that of longitudinal growth, so the morphology is oval. Then, the effects of diffusion correction, mobility correction and ledges nucleation mechanism correction on the morphology of nucleation and the nucleation rate were studied respectively. It was found that the introduction of boundary diffusion and the nucleation mechanism of the ledges could lead to a more realistic pearlite.

Keywords: Eutectoid, Nucleation model, Numerical simulation, Phase field

1. Introduction

At this stage, the nucleation model is mainly focused on the transformation process from one phase to another phase, such as the solid phase nucleated in the liquid phase, ferrite nucleated in austenite. The model can be divided into two types, one is the seed density model, that is based on the theory of uniform nucleation, The position of nucleus is given at random, and the particle radius distribution function gives the particle radius, then according to the free energy to determine whether the nucleation of particles. Another is seed undercooling model, that is, the nucleation is judged according to whether or not the degree of undercooling exceeds the critical nucleation degree of undercooling. For example, in 2006, Su Yanqing et al. [1] simulated the peritectic microstructure evolution during directional solidification. The nucleation criterion is that the solute component in the front of a phase growth interface reaches the critical component of the second phase. In 2011, Wu Mengwu et al. [2] simulated the binary regular eutectic growth using the cellular automaton method. The nucleation, bifurcation, annihilation and amalgamation were reconstructed according to the curvature and critical concentration state. In 2011, Liu Zongchang et al. carried out an experimental study on the nucleation of bainitic, and calculated the critical size and nucleation power of the nuclei, and provided the above two nucleation mechanisms [3]. In 2012, Wang Yonbiao et al. simulated the effect of interfacial effect on nucleation of grains.
2. Mathematical model

2.1. Multi-phase field model

In this paper, the Ingo’s model is adopted for multi-phase field. The total free energy function is composed of interfacial energy density, chemical energy density and other energy density. The phase field equation [7], the concentration field equation [8] and the stress-strain field equation [8] can be obtained after a series of derivation.

\[
\phi_i = \frac{1}{N} \sum_{k=1}^{N} \phi_{ik},
\]

\[
\psi = \left[ \sigma_{\alpha\alpha} (I_{\alpha} - I_{\beta}) + \sum_{\alpha=1}^{N} \left( \sigma_{\alpha\beta} - \sigma_{\alpha\alpha} \right) I_{\alpha} \right] + \frac{\sigma^2}{\eta} \lambda - \frac{\sigma^2}{\eta} \phi_{\alpha},
\]

\[
I_{\alpha} = \left\{ \nabla \phi_{\alpha} + \frac{\sigma^2}{\eta} \phi_{\alpha} \right\}
\]

\[
\lambda = \sum_{\alpha=1}^{N} D_{\alpha} \nabla c_{\alpha} - \nabla M_{\alpha} \left( c_{\alpha} \right) + \left( t \right) s
\]

\[
\theta' = \sum_{\alpha=1}^{N} \phi_{\alpha} C_{\alpha} \left( c_{\alpha} - c_{\alpha}^0 \right) - c_{\alpha} \left( c_{\alpha}^0 \right) + \frac{\sigma^2}{\eta} \phi_{\alpha}
\]

Where \( \phi_{\alpha}, \phi_{\beta} \) is the phase field of \( \alpha, \beta \) or different orientation grain of the same phase. Where \( \eta \) is interface width. \( \sigma_{\alpha\beta} \) is the interface energy between \( \alpha \) and \( \beta \). \( \mu_{\alpha\beta} \) is the interfacial field of phase \( \alpha \) and \( \beta \). \( N \) is the number of phases, respectively. \( c_{\alpha} \) is the concentration of phase \( \alpha \), \( (c_{\alpha}^0)j \) is the first order tensor of total strain of phase \( \alpha \), \( (s_{\alpha})kl \) is the zero-order tensor of total strain of phase \( \alpha \), \( s_{\alpha} \) is the stress tensor, \( \omega_{\alpha} \) is strain of phase \( \alpha \), \( D_{\alpha} \) is the effective elasticity matrix. \( D_{\alpha} \) is the diffusion coefficient of phase \( \alpha \). \( M_{\alpha} \) is chemical mobility of phase \( \alpha \). The paper mainly uses phase field model and concentration field model.

Phase field and concentration field are discretized by finite difference method, C language is used to encode, and the boundary of the simulated area is treated by adiabatic boundary condition.

2.2. Nucleation model

The nucleation process of pearlite consists of two aspects, one is how to determine the location of nucleation and the other is the realization of nucleation growth. Here, the nucleation growth is different from the subsequent growth model.

(1) Nucleation sites

The undercooling model can determine the nucleation sites well. When the degree of undercooling is lower than the critical undercooling, the position is set as the nucleation point. Since there are two phases in the pearlite nucleation process, it is necessary to judge the nucleation of the two phases separately. Due to the influence of the constitutional supercooling, the theoretical transition temperature will change when the actual concentration changes. Assuming that the GS, GP and ES lines in the Fe-C phase diagram are straight lines (shown in Fig. 1), the relationship between the composition and theoretical transition temperature can be obtained, as shown in Equations (5) and (6).

Fig. 1. Fe-C phase diagram

\[
\Delta T = T^* - T_0
\]

\[
T^* + k^* \cdot C = T_0
\]

Where \( \Delta T \) is undercooling, \( T^* \) is the theoretical transition temperature. \( T_0 \) \( (i = \alpha, \beta) \) is the actual temperature of phase \( i \) at time \( t \). \( T_0 \) is the melting point of pure iron. \( T_0^* \) is the melting point of cementite, corresponding to the theoretical transition temperature of 0.25 carbon mole fraction in the phase diagram. \( T_0^* \) is the temperature on line GS, \( T_0^* \) is the temperature on line ES, \( k^* \) is the slope of phase \( \alpha \), \( k^* \) is the slope of phase \( \beta \), such as GS or
\( C^\alpha \) is the concentration of phase \( \alpha \), \( C^\beta \) is the concentration of phase \( \beta \).
\( T^\alpha, T^\beta \) and \( k^\alpha, k^\beta \) are constants for Fe-C phase diagram, so \( \Delta T \)
changes with \( C (i=\alpha,\beta) \) when it is isothermal transition. So the
nucleation position criterion equation (7) can be equivalent to equation (8).

\[
\Delta T_{nucleation} > \Delta T_{critical}
\]

(4)

\( C_{nucleation} > C_{critical} \quad k^1 < 0 \)

(5)

\( C_{nucleation} < C_{critical} \quad k^2 > 0 \)

(2) Growth of the nuclei
When determining the nucleation sites, the nucleus begins to
grow. Combined with the free energy density function, nucleus
growth is essentially due to the driving force is greater than
the surface energy. When the nucleus is too small, the surface energy
is greater than the driving force, it is difficult to ensure that the
nucleus can grow. So a critical volume value \( V_{critical} \) can be
given, when the nucleus volume is greater than the critical
volume, the driving force term is greater than surface energy term.
(Here the phase field equation is divided into two parts, namely
the surface energy term and the driving force term, the surface
energy is \( \sigma_{ij} (I_{i} - I_{j}) + \sum_{i<j} \sigma_{ij}^{\alpha} \), and the driving force
is \( \frac{\Delta G}{\Delta V} \). But when the nucleus volume is less than the critical
volume, the surface energy term can be reduced to a certain value
that meet the driving force term is greater than the surface energy
term. That is, the original surface energy term can be multiplied
by a scaling factor “Scale”. At the same time, in order to ensure
the stability of the numerical solution and avoid the problem
that the radius of the nucleation point is smaller than the interface
thickness [9], it is necessary to set the critical volume. Both of
them must be satisfied at the same time. The scaling factor
“Scale” is calculated as shown in

\[
Błąd! Nie można odnaleźć źródła odwołania. V^i \text{ is the volume of phase } i. \text{ The value of critical volume } V_{critical} \text{ is equal to } \frac{\Delta G}{\Delta V} \text{, the “width” is the number of interface grids.}
\]

\[
\text{scale} = \frac{V^i}{V_{critical}}
\]

(9)

3. Nucleation mechanism and condition parameter setting
In this paper, we discuss the effect of nucleation on the
growth of pearlite. Based on lamellar growth theory that a phase
cling to another phase for growing, the simulation analysis is
carried out from the simple volume diffusion mechanism, the
boundary diffusion mechanism and the velocity control diffusion
mechanism. Here, it is assumed that one of the phases in the
eutectoid phase is precipitated first, then the other phase is
precipitated. Therefore, there are two precipitation modes: first
precipitation of ferrite and first precipitation of cementite. The
nucleation mechanism is shown as follow. The first precipitate
phase nucleated at the boundary. With the growing of nucleus, the
second phase begins to nucleate when the neighbor region
satisfies the nucleation condition of the second phase. During the
nucleation process, the two phases still maintain cooperative
growth. The initial phase field value of austenite is 1, the first
precipitation phase is 0. In this paper, only the first model (the
first precipitate is ferrite) was studied since the nucleation
principle is basically the same.

The simulation parameters are referenced in the existing literature
[10]. Where \( \alpha \) denotes ferrite, \( \beta \) denotes cementite, and \( \gamma \) denotes
austenite.

<table>
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4. Results and discussion
The merits and demerits of the different modification methods
for nucleation model are discussed. Here, a 200 \( \times \) 200 grid is set
up for the nucleation simulation. The initial phase field
distribution is shown in Fig. 2. The initial nucleation position is
set to the center of the whole region. The critical nucleation
concentrations of the two phases (ferrite and cementite) were
3.2% (mole) and 3.72% (mole), respectively. The calculation
method is given below.

As the eutectoid nucleation process is rarely studied, the
nucleation critical concentration can only be used for reference
to the existing eutectic nucleation. The eutectic nucleation
conditions are introduced by Zhu et al. [11], the value of \( C_i - C_E \)
is greater than or less than a certain value determines whether it is
nucleated (\( C_i \) is the nucleation concentration mentioned in this
article, \( C_E \) is the eutectic composition), The value varies with the
simulated alloy, 0.8 and -1.8 for the Al-Si alloy [12], 1.6 and -2.5
for the aluminum-magnesium alloy [13] and 1.8 for the CBra-
C\(_4\)Cl\(_6\) [11]. The calculation method of these values is not given in
the literature, and there is no solution to deal with the problem
correctly. And the solid-phase diffusion coefficient of eutectoid
transformation is a small value, the change of concentration is

\[ \frac{\Delta C_i}{\Delta t} = D_i \frac{\partial C_i}{\partial x} \]

\[ \frac{\Delta C_j}{\Delta t} = D_j \frac{\partial C_j}{\partial x} \]

where \( D_i \) and \( D_j \) are the diffusion coefficients of the two
phases. The simulation results are shown in Fig. 3. It can be
seen that the nucleation process is consistent with the theoretical
predictions. The nucleation sites are mostly located at the
boundary of the two phases, and the growth of the nuclei is
cooperative. The simulation results provide a certain reference
for the study of eutectoid nucleation.
small, and there is no such a large fluctuation in the liquid phase. Therefore, the nucleation conditions are modified according to the eutectoid transition characteristics. Such as equation (1), 
\[ k_{\text{Revision}} = \frac{C_{i}^{A,j} - C_{E}^{A}}{C_{E}^{B} - C_{E}^{A}} \]

is the correction factor, \( C_{i}^{A,j} \) is the eutectic transformation critical concentration of phase j of alloy A, \( C_{E}^{A} \) is the eutectic composition of alloy A, \( C_{E}^{B} \) is the eutectoid composition of alloy B, \( k_{\text{Revision}} \) is the eutectoid transformation critical concentration of j phase of alloy A. Here CBr-C2Cl2 studied by Zhu et al. [11] is used as alloy A, so the relevant parameters can be obtained, \( C_{i}^{A} - C_{E}^{A} = 1.8 \text{ wt\%}, \quad C_{E}^{A} = 8.4 \text{ wt\%}, \quad C_{E}^{B} = 3.46 \text{ mol\%}, \quad k_{\text{Revision}} = 1/3 \) then the value of \( C_{i}^{B,F} \) is about equal to 3.2 mol\%. Unlike ferrite, cementite is a stoichiometric state. The concentration difference of cementite is assumed to be same with the value of ferrite, so \( C_{i}^{B,Cem} = 3.72 \text{ mol\%} \).

\[ C_{i}^{a,j} = C_{E}^{j} + k_{\text{Revision}} \cdot \left( C_{E}^{i} - C_{E}^{A} \right) / C_{E}^{A} \cdot C_{E}^{j} \] \hspace{1cm} (6)

4.1. The results of nucleation simulation without model modification

When the model is not modified, the morphology of nucleation growth is shown in Fig. 3. The growth pattern of the single phase is elliptical, as shown in the ferrite phase of Fig a). When the other phase (in this case, the cementite) cling to the primary-precipitated phase for nucleation, the growth of the two phases in the vertical direction of the austenite grain boundaries satisfies the cooperative growth condition. And a transformation between the two phases occurred in the parallel to the austenite grain boundary. The growth of the cementite (the highest carbon concentration) is bent upward. The reason is that the lamellar thickness of ferrite (the lowest carbon concentration) is too small, the precipitated carbon atoms from the transformation of austenite to ferrite cannot satisfies the captured carbon atoms from the transformation of austenite to cementite when the two phases are cooperation growth, so it needs more austenite to precipitate carbon atoms, that is, ferrite lamellae requires a greater transformation area, the above pattern will formed when the adjustment of ferrite and cementite two-phase is made. In the figure, the difference between the lamellar spacing and the actual lamellar spacing is large, and the nucleation speed is slow, the nucleation model needs to be modified. In order to facilitate comparison, the nucleation law of the unmodified nucleation model is given below.

4.2. The results of nucleation simulation with modified model

There are four model correction methods:
(1) The interfacial diffusion coefficient is 20 times of the intragranular diffusion coefficient.
(2) The boundary diffusion coefficient is introduced in the boundary region, which is 20 times of the bulk diffusion coefficient.
(3) The introduction of boundary diffusion and the interfacial mobility is 10 times of the intragranular mobility.
(4) The introduction of boundary diffusion and the velocity term is introduced into the grain boundary, Set \( C_{v} = 2p = 2.5e8 \).

Fig. 4 is the concentration distribution of the four nucleation model correction methods at 0.048s, and it reflected the phase distribution at some extent. It can be seen from the figure that the former three models can accelerate the nucleation rate to a certain
extent. The fourth model, although only two cementite nucleus
are generated in 0.048s, but the lamellar spacing is larger, closer
to the steady-state lamellar spacing. The nucleation rate of the
third model is very fast, and the austenite grain boundary were
completely occupied by the lamellar nucleus before 0.048s.
However, since there are many nucleus, the lamellar spacing is
very small, the lamellar merging and annihilation occurs in the
subsequent lamellar growth. In order to analyze the regularity for
the correction method of nucleation model, the distance-time-
phase diagram is drawn for these modified models, as shown in
Fig. 5. The correction method 1 is faster than the original
nucleation, and the lamellar spacing is large. The correction
method 2 is slightly faster than the original nucleation, but the
lamellar spacing is slightly smaller; the nucleation of the
modification method 3 is very fast and the lamellar spacing is the
smallest; the nucleation of the correction method 4 is the slowest,
the lamellar spacing is the largest, but also the closest to the
steady-state lamellar spacing.

\[ V_{\text{Nuc}} = \frac{\Delta S}{\Delta t} \]  \hspace{1cm} (11)

Where \( \Delta S \) is the distance between the nucleation sites of the
different neighbor phases, \( \Delta t \) is the time different between the
nucleuses of different neighbor phases.

According to the above formula, the nucleation site and
nucleation rate graph are obtained, as shown in Fig. 6 and Fig. 7.
The grid spacing between the two phases shown in Fig. 6 is the
nucleation spacing. The ferrite phase with the grid position of 100
is set as the origin, the distance between the first cementite and
the preceding ferrite nucleus in different models have following
relationship.

\[ S^0_{F-C\text{em},3} < S^0_{F-C\text{em},2} < S^0_{F-C\text{em},0} < S^0_{F-C\text{em},1} < S^0_{F-C\text{em},4} \]  \hspace{1cm} (12)

By statistical analysis of the subsequent nucleation spacing, the
relationship between the average nucleation spacing from ferrite
to cementite and the average nucleation spacing from cementite to
ferrite can be obtained.

\[ S^m_{F-C\text{em},3} < S^m_{F-C\text{em},2} < S^m_{F-C\text{em},0} < S^m_{F-C\text{em},1} < S^m_{F-C\text{em},4} \]  \hspace{1cm} (13)

\[ S^m_{\text{Cem}-F,3} < S^m_{\text{Cem}-F,2} < S^m_{\text{Cem}-F,0} < S^m_{\text{Cem}-F,4} \]  \hspace{1cm} (14)

As the nucleation spacing from cementite to ferrite is small, the
values of the five different models differ little. So the
average nucleation spacing from ferrite to cementite was used
as a criterion to judge the pros and cons of the model.
Fig. 7 shows the nucleation rate $V_{nuc}$ of the ferrite or cementite phase. The x-axis corresponds to nucleation lamellae, $F_0$ is the initial ferrite lamellae, the other symbol meaning as shown in Fig. 8. The ferrite phase with the grid position of 100 is set as the origin, the nucleation rate between the first cementite and the preceding ferrite nucleus in different models have following relationship.

$$V^{(0)}_{F \rightarrow Cem,4} < V^{(0)}_{F \rightarrow Cem,1} < V^{(0)}_{F \rightarrow Cem,0} < V^{(0)}_{F \rightarrow Cem,2} < V^{(0)}_{F \rightarrow Cem,3}$$

(15)

By statistical analysis of the subsequent nucleation rate, the relationship between the nucleation rate from ferrite to cementite and the nucleation rate from cementite to ferrite can be obtained.

$$V^{(0)}_{F \rightarrow Cem,4} < V^{(0)}_{F \rightarrow Cem,2} < V^{(0)}_{F \rightarrow Cem,0} < V^{(0)}_{F \rightarrow Cem,1} < V^{(0)}_{F \rightarrow Cem,3}$$

(16)

$$V^{(0)}_{Cem \rightarrow F,4} < V^{(0)}_{Cem \rightarrow F,2} < V^{(0)}_{Cem \rightarrow F,1} < V^{(0)}_{Cem \rightarrow F,3}$$

(17)

The relationship between nucleation spacing and nucleation rate of five models was compared. The correction model 4 and the correction model 3 show two extremes in the nucleation spacing and nucleation rate. Correction model 4 has the largest nucleus spacing, but the nucleation rate is the smallest. The nucleus spacing of the correction model 3 is the smallest, but the nucleation rate is the largest. The correction model 1 is in the front position in both criteria, so it is more suitable. However, the volume diffusion coefficient at the interface does not conform to the actual theoretical data at this stage. This is why this paper does not discuss the VD + Mobility and VD + Ledge model. Correction model 2 increases the boundary diffusion, although the nucleus position and nucleation speed did not change significantly, but more in line with the actual growth state. Therefore, we need to choose model 3 or model 4 under the condition of introducing boundary diffusion. Model 3 and model 4, to a certain extent, can be equivalent. In principle, they are all to speed up growth of the nucleus at the austenite boundary. Model 3 increases the nucleation rate by increasing the increment of the phase field in a single time step, while model 4 adjusts the diffusion flux in different directions to increase the concentration increment in a certain direction and accelerate nucleation.

The width of the lamellar ferrite phase occupies about 65% of the lamellar spacing, so the nucleus spacing and nucleation rate of the model should be mainly to promote the formation of ferrite phase. The above model rule is obtained at a determined critical concentration. The nucleation critical concentration of cementite is directly proportional to the nucleus spacing of $F \rightarrow Cem$, and is inversely proportional to the nucleation rate of $F \rightarrow Cem$. Therefore, if the model 3 is chosen, the nucleation critical concentration of the cementite can be increased appropriately, thereby increasing the nucleus spacing. If the model 4 is chosen, there are two ways to increase the nucleation rate. One way is to increase the mobility by learning from the model 3; the other way is to adjust the velocity control term, and then accelerate the growth rate, but this method cannot significantly increase the nucleation rate. The model 3 and the model 4 are adjusted according to the above-mentioned way.

When the two adjustment models in Fig. 9 are analyzed, it can be seen that the nucleation spacing of model 3 is obviously increased and the nucleation rate of model 4 is greatly enhanced. The initial lamellar ferrite width in model 4 is significantly larger than that in subsequent lamellae, which is approximately twice the width of the subsequent lamellae. That mainly due to the introduction of the velocity term, the initial lamellar ferrite grows in two directions. But the subsequent lamellar ferrite is grown in a single direction cling to the cementite lamella, so the initial lamellar ferrite growth distance is twice the subsequent lamellar ferrite growth distance at the same time. In order to quantitatively analyze the advantages and disadvantages of the nucleation model, we obtain the nucleation site and nucleation rate of Fig. 10.

![Fig. 9. The morphology of model 3 and model 4 after adjustment at 0.048s. a) the critical concentration of model 3 is 3.80%, b) The boundary mobility of model 4 increased to 3.0 times.](image)

![Fig. 10. The nucleation position and nucleation rate (μm s⁻¹) of model 3 and model 4 after adjusting the parameters.](image)

Different symbols in the figure indicate different models, and different colors indicate different phases. The initial cementite nucleation rate of model 3 is 3.32μm s⁻¹, which is approximately half of the nucleation rate of model 4, and the nucleus spacing is smaller than that of model 4 too, so the model 4 is more practical. Analysis of the subsequent nucleation, the nucleation spacing of model 3 is slightly larger than that of model 4, and the nucleation rate of cementite is relatively stable, which is 10.0μm s⁻¹, which is slightly larger than that of model 4. The reason for the instability of model 4 is that the initial nucleus is slightly off-center, resulting in different distances from the boundary. In the
comprehensive analysis, model 4 is more suitable for nucleation simulation, and model 4 can control the spacing between nucleuses through velocity term, which is more favorable for nucleation study.

The nucleation process of eutectoid steel is difficult to obtain experimentally, most of the nucleation morphology comes from hypoeutectoid steel or hypereutectoid steel, but the austenite boundary of eutectoid steel often exists pro-eutectoid phase, Ferrite or pro-eutectoid cementite. It is difference from the nucleation hypothesis here. Liu Zongchang et al [14] believe that pearlite nucleus is composed of lamellar ferrite and lamellar cementite. Although the nucleation mechanism is different, the pearlite morphology of simulation is comparable to the actual one. Since the orientation relationship is not considered in this paper, the simulation results show that the pearlite grows to both sides of the austenite at the same time.

Fig. 11. The process of nucleation and growth for a) 35GrMo steel and b) T8 steel

Fig. 11 [14] shows the morphology of pearlite nucleation growth, the nucleus of pearlite clusters is generally at austenite grain boundaries. In the initial stage, the pearlite clusters grow outwards in semi-elliptical. There is a main direction of lamellar growth, but it is also mixed with other directions or bending growth. This is due to the process of nucleation and growth occur simultaneously. Here, we can only qualitatively compare it with the simulation results. But the growth spacing is an important index of the quantitative pearlite, the spacing between the experiment and simulation is in good agreement with each other. In the simulation, there are 5 pieces of lamellar spacing in the 800nm region, there are six pieces of lamellar spacing in the 1000nm length.

5. Conclusion

Based on the existing nucleation theory, this paper proposes a cooperative nucleation model to simulate the nucleation process of eutectoid growth. A more appropriate nucleation model is obtained by multiple model modifications. And the simulation results are in agreement with the experimental results. The numerical simulation results show that

1. When the model is not modified, the lateral growth of single phase is faster than that of longitudinal growth, so the morphology is oval.
2. The introduction of boundary diffusion in the boundary region is helpful to the nucleation process. Although increasing the coefficient of volume diffusion in the boundary region can get better results, the increase of the volume diffusion coefficient is not consistent with the existing research. But the introduction of boundary diffusion can obtain similar results.
3. When the boundary region is introduced into the boundary diffusion, the introduction of velocity control and boundary mobility can greatly increase the nucleation rate and nucleation spacing.

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