

**ORIGINAL STUDY**

# Do the turbinates play an important role in obstructive sleep apnea syndrome? – Our experience

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**BACKGROUND.** Nasal obstruction may trigger obstructive sleep apnea syndrome (OSAS) and it is considered to be a co-factor in its pathophysiology. However, the relation between cause and effect still remains a matter of debate.

**MATERIAL AND METHODS.** 18 patients diagnosed with chronic hypertrophic rhinitis and obstructive sleep apnea syndrome were included in the present study. All patients underwent nasal surgery as the single treatment for their sleep breathing disorders. Rhinomanometric (total nasal airflow, logReff, logVR) and polygraphic parameters (apnea-hypopnea index - AHI, snore flags index – SFI) were evaluated pre- and 2 months postoperatively.

**RESULTS.** There was a statistically significant difference between the values of the preoperative and postoperative total nasal airflow ( $p$ -value<0.0001). In case of AHI, there was a decrease in its value from 31.56 preoperatively to 30.03 postoperatively, but the difference was not statistically significant ( $p$ =0.937). The SFI, on the other hand, presented a significant decrease ( $p$ =0.05), from a mean value of 93.15 preoperatively to 56.02 after the surgery. The correlation of the total nasal airflow with AHI and SFI, revealed that nasal surgery had an important impact upon snoring characteristics ( $r$ =0.24) and less upon OSAS severity ( $r$ =0.21).

**CONCLUSION.** The nasal cavity obstruction contributes less to OSAS, but still represents a disorder that needs to be corrected in case of such patients. Turbinates reduction surgery may be applied in the treatment of OSAS and combined with palate and/or tongue surgery.

**KEYWORDS:** obstructive sleep apnea syndrome, nasal resistance, turbinates reduction, respiratory polygraphy

**INTRODUCTION**

The nasal obstruction may be a trigger for the obstructive sleep apnea syndrome (OSAS) in normal individuals and it is considered to be a co-factor in the pathophysiology of OSAS. However, the relation between cause and effect still remains a matter of debate<sup>1</sup>.

There are multiple surgical options available for treating nasal symptoms due to inferior turbinate hypertrophy. Commonly, a surgical reduction of the turbinate is indicated if a three-month conservative therapy has not had any subjective and objective success. Frequently, surgery is offered empirically, there being a great discrepancy between the subjective complaint

of nasal obstruction and the surgeon's clinical examination. Furthermore, the meta-analysis attempts collided with the lack of homogeneous study cohorts, in the literature being only two studies having as yet achieved evidence level 2<sup>2,4</sup>.

In this study, we present our experience in order to determine the implication of nasal obstruction in the pathophysiology of obstructive sleep apnea.

**MATERIAL AND METHODS**

We included in our study 18 patients (aged between 33 - 63; mean age = 46.3+/-8.6; M:F=14:4) diagnosed with chronic hypertrophic rhinitis and obstructive

sleep apnea syndrome. All patients performed a 4-phase-rhinomanometry, for the evaluation of the degree and the cause of the nasal obstruction, and a respiratory polygraphy, for the diagnosis of the sleep-breathing disorders.

The nasal surgery consisted in radiofrequency inferior turbinates reduction. Postoperative rhinomanometric and polygraphic findings were assessed 2-month after surgery.

The evaluated parameters were the total nasal airflow (ccm/s) at 150Pa pressure, log Reff (logarithmic Effective Resistance), log VR (logarithmic Vertex Resistance), AHI (Apnea-Hypopnea Index) and SFI (Snore Flags Index). The logarithmic Effective Resistance evaluates the work of nasal breathing and it is correlated with the subjective sensation of obstruction, while the logarithmic Vertex Resistance is the resistance of the nasal airstream at the point of maximum flow during inspiration or expiration<sup>5</sup>.

The data was processed in Excel 2007 and the statistical analysis was performed using XLSTAT 2015.

## RESULTS

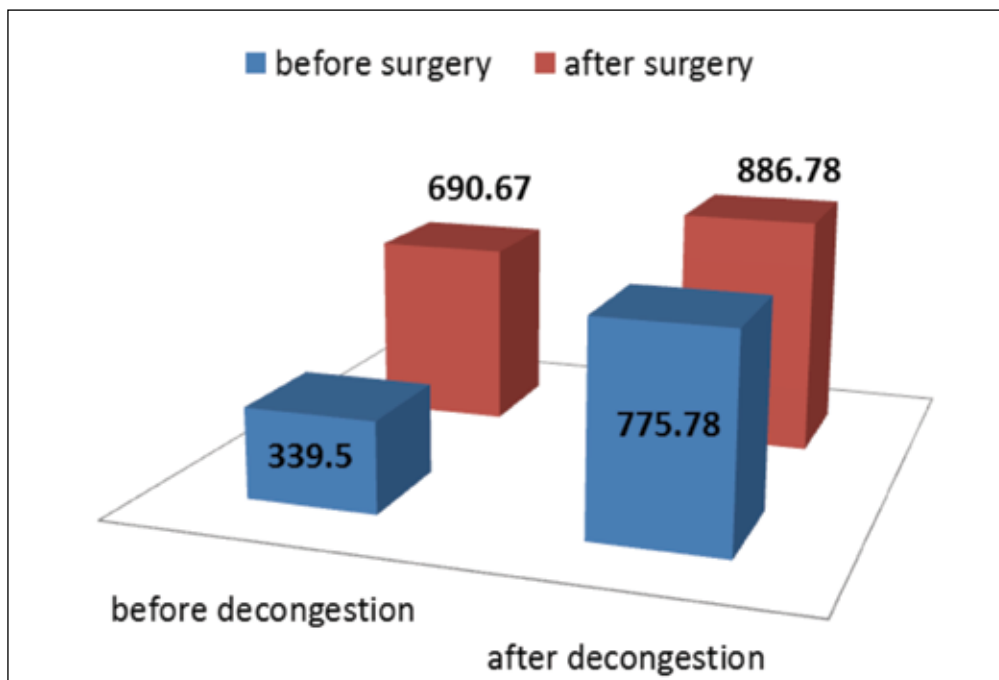
Analysing the pre- and postoperative rhinomanometric results, we observed statistically significant improvement in the total nasal airflow and total nasal resistance between the two measurements performed before decongestion.

Preoperatively, the total nasal airflow varied between 142 and 534 ccm/s, with a mean of 339.5, before decon-

gestion, and between 550 and 960 ccm/s, mean value = 775.78, after decongestion (Figure 1). The difference between the two measurements proved to be statistically significant, with a p-value < 0.05. Postoperative measurements revealed a total nasal airflow between 450 and 852 ccm/s, mean = 690.67, before decongestion, and 662 and 1214 ccm/s, mean = 886.78, after decongestion. After surgery, there may not be observed the same considerable variation, but there are roughly normal parameter values before and after nasal decongestion. Because the results in airflow are comparable preoperatively after decongestion and postoperatively before decongestion, we may conclude that the preoperative nasal decongestion values may be considered a predictive factor of nasal surgery success in case of mucosal hypertrophy of the inferior turbinates.

Considering the total nasal resistance variation, before and after the surgical procedure, we can observe a statistically significant decrease in both log Reff (Figure 2) and log VR values (Figure 3).

From the respiratory polygraphy parameters, we took into consideration the apnea-hypopnea index (AHI) and the snore flags index (SFI). In case of AHI, there was a decrease in its value from 31.56 preoperatively to 30.03 postoperatively, but the difference was not statistically significant ( $p=0.937$ ) (Figure 4). SFI, on the other hand, presented a significant decrease ( $p=0.05$ ), from a mean value of 93.15 preoperatively to 56.02 after the surgery (Figure 4). So, we can say that nasal surgery had an important impact upon snoring characteristics and less upon OSAS severity ( $r=0.21$ ) (Figure 5).



**Figure 1** Total nasal airflow variation

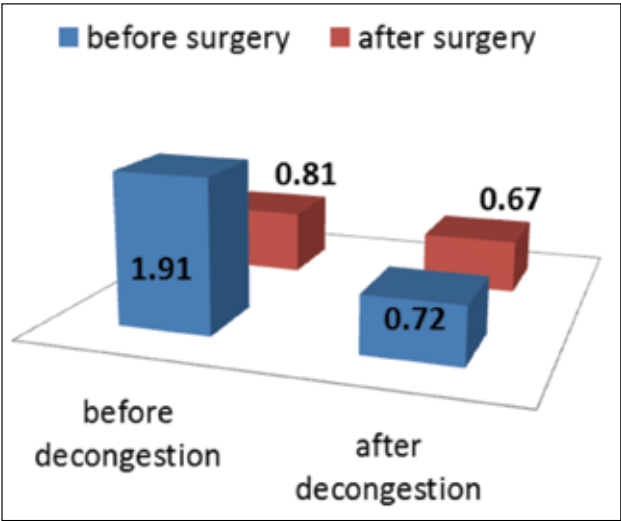


Figure 2 Log Ref variation

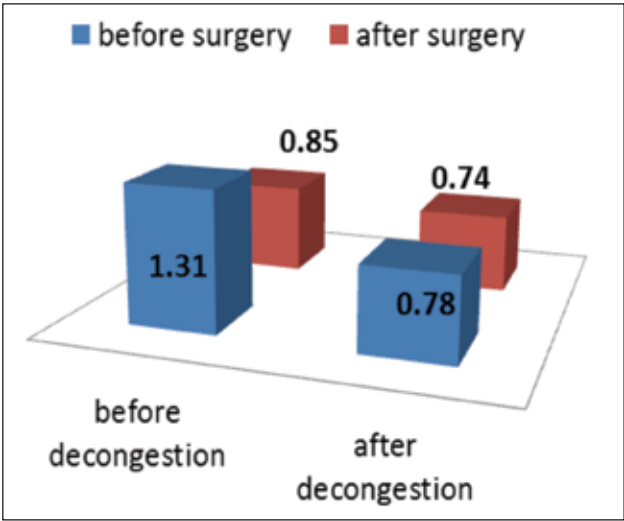


Figure 3 Log VR variation

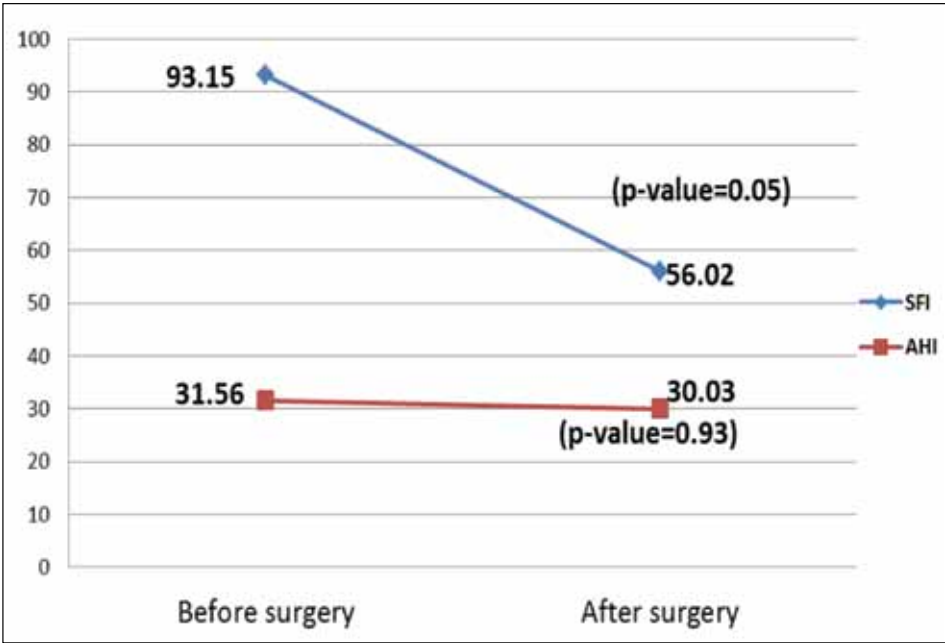


Figure 4 AHI and SFI variation

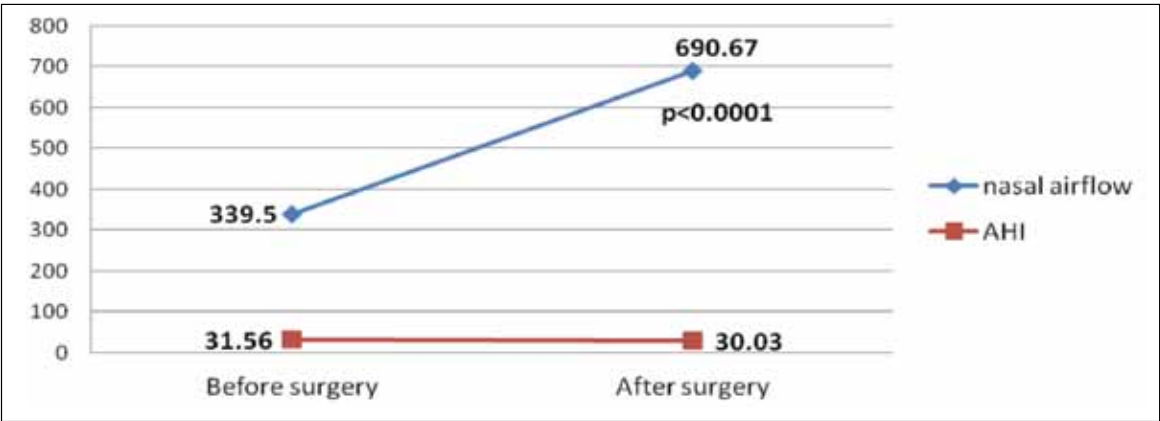
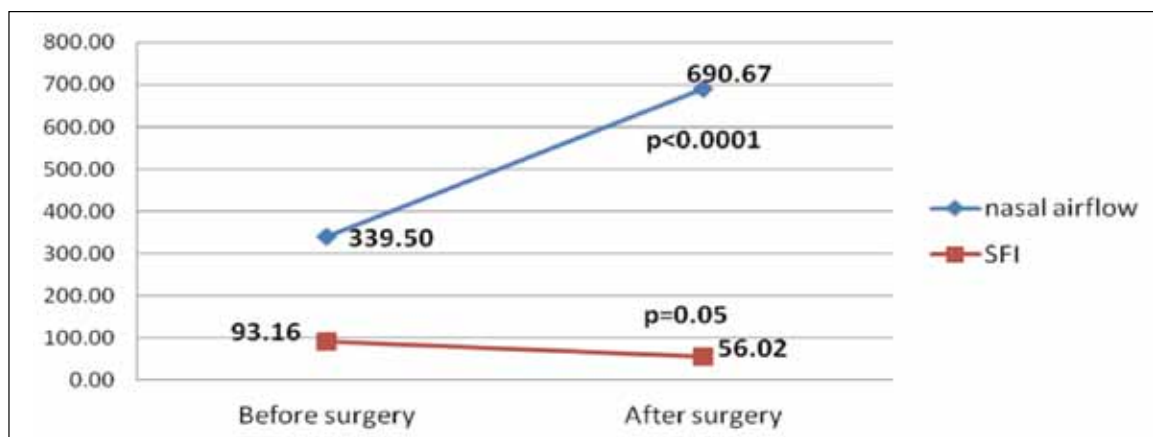


Figure 5 Correlation between nasal airflow and AHI



**Figure 5** Correlation between nasal airflow and SFI

There is a significant reduction in the number of snoring episodes per hour and a major increase in the nasal airflow. Nevertheless, there is a weak correlation between the improvement of the nasal airflow and the frequency of snoring episodes ( $r=0.24$ ) (Figure 6).

## DISCUSSIONS

The consequences of daily nasal obstruction on sleep quality have been well demonstrated, resulting in poor sleep quality, daytime discomfort and fatigue. Furthermore, the nasal pathology may have an important impact on OSAS severity<sup>6</sup>, the phenomenon being observed in the case of impaired nasal breathing in sensitized subjects during high allergen exposure and in patients with common cold during the night.

Even if nasal obstruction is considered to be co-factor in the pathophysiology of OSAS, the relation between cause and effect is still a matter of debate. The experimental evidence of the role of nasal obstruction on sleep quality revealed pro and con arguments in this regard.

Zwillich and Olsen used polysomnography in two independent studies, discovering that sleep quality worsened in healthy men with nostrils occluded, using petroleum jelly and cotton or adhesive tapes, leading to obstructive hypopnea and apnea<sup>7,8</sup>. Wetmore et al. revealed that complete nasal packing in epistaxis patients induced similar effects represented by apneas and desaturation episodes<sup>9</sup>. Liistro et al. studied 202 patients referred for the assessment of sleep-related breathing disorders (SRDB) and found a correlation between the Mallampati score, nasal examination and sleep parameters; thus, a high Mallampati score (MS) with concomitant nasal obstruction is associated with a high risk of OSAS (the MS is associated with AHI only in the presence of nasal obstruction)<sup>10</sup>. Patients with SRBD switch more frequently from nasal to oronasal breathing during sleep if nasal obstruction is

present, leading to an increased respiratory effort and alveolar hypoventilation<sup>10,12</sup>.

Virkkula et al. assessed 41 patients referred for snoring, revealing a direct relationship between total nasal resistance, AHI ( $r = 0.50$ ,  $p < 0.05$ ) and oxygen desaturation ( $r = 0.58$ ,  $p < 0.05$ ) measured in patients in the supine position<sup>13</sup>.

Other cohort studies revealed that patients with night-time symptoms of rhinitis or nasal congestion have a higher risk of developing OSAS and snoring<sup>14,15</sup>.

Contrariwise, regarding the relation between nasal patency and OSAS in patients with abnormal nasal patency, Miljeteig et al. studied 683 patients diagnosed with snoring and/or apnea, assessing the nasal resistance and the sleep parameters. They found no correlation between the unilateral or bilateral increase of nasal resistance and snoring or apnea and no direct relation between seated nasal resistances, awakened state and sleep parameters<sup>16</sup>. No evidence of the role of nasal obstruction on sleep quality was also confirmed by others authors<sup>17,18</sup>.

The effects of nasal surgery on snoring and obstructive sleep apnea syndrome are also a matter of debate. Definition of surgical success is defined, after Sher, as 50% improvement in respiratory disturbance index (RDI) to a final absolute value below 20, or the apnea-hypopnea index (AHI) to below 10<sup>19</sup>. In case of the effect of nasal and sinus surgery on OSAS, although some of the studies found in the literature have demonstrated a slight decrease in the AHI for some patients, the success rate proved to be very low<sup>6,20-28</sup>. Regarding the snoring episodes, the clinical data found in the literature reveals that the improvement in nasal resistance reduces snoring episodes and even ceases them for some patients<sup>6,29-32</sup>. So, isolated nasal surgical success may be difficult to objectify regarding snoring, being about 40% of cases with cessation of snoring and about 85% with reduction in sound intensity (by 5-10 dB)<sup>6,33</sup>; in case of OSAS, the surgical success seems to be about 10-20%<sup>6</sup>.

A study performed by Kim et al. in 2004 on 21 patients evaluated the effects of nasal surgery (septoplasty with or without turbinectomy) on snoring and apnea<sup>23</sup>. They reported a decrease of the AHI from 39 to 29 ( $p=0.0001$ ) and of the snoring duration from 44% to 39% ( $p=0.1595$ ). In another study performed on 26 adult patients diagnosed with sleep-related breathing disorders, Verse T. and Pirsig W. observed no significant difference between the AHI value before nasal surgery (AHI=31.6) and the AHI value after the surgery (AHI=28.9), despite the significant improvement of the nasal resistance ( $p=0.0089$ )<sup>28</sup>. Our results can be compared with those reported above.

All the studies found in the literature reveal that nasal surgery reduces the requested nasal continuous positive airway pressure (CPAP) and improves patients' compliance with this therapy<sup>6,24,34-38</sup>.

There are also authors reporting an increase in the severity of the apnea syndrome (of the AHI) after the nasal surgery<sup>27,39,40</sup>. Nasal surgery may be ineffective if cranio-mandibular abnormalities and an important pharyngeal collapse co-exist, which is why the selection of appropriate surgical procedures for reconstruction of the upper airway may represent the most difficult task for the surgeon; in most cases, a multiphase approach is preferable.

## CONCLUSIONS

The turbinates play a debatable role in OSAS, but some authors claim that nasal obstruction may trigger the induction of OSAS in normal individuals. Nasal surgery (including inferior turbinates) seems to improve some parameters, but few studies with statistical significance are available. The turbinates reduction improves nasal breathing and pharyngeal patency, which reduces the CPAP pressure.

Even though nasal surgery may be ineffective as single treatment for OSAS, it has proved very useful in treating snorers, reducing CPAP pressure and being part of the multilevel approach.

From our experience, we also sustain the idea that in OSAS treatment, nasal surgery must be part of a multilevel surgery plan.

**Conflict of interest:** The authors have no conflict of interest.

**Contribution of authors:** All authors have equally contributed to this work.

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