

# Effects of preparation methods of mixed calcium and zinc thermal stabilizers derived from dimer fatty acid and tung-oil based C22 triacid on properties of PVC

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Calcium and zinc salts of dimer fatty acids (DFA-Ca and DFA-Zn) were synthesized using direct neutralization and metathesis technologies, respectively. The adduct of maleic anhydride and methyl eleostearate (MAME) was also converted to the corresponding zinc soap (C22TA-Zn) and calcium soap (C22TA-Ca) by the two different synthetic routes. Mixed Ca/Zn salts between DFA-Ca and DFA-Zn, and between C22TA-Zn and C22TA-Ca were used as thermal stabilizers for poly(vinyl chloride) (PVC). The PVC thermal stability was determined using Congo red test, discoloration test, torque rheological analysis and TGA. Dynamic mechanical properties were also tested. Results indicated that the DFA-Ca/DFA-Zn thermal stabilizer from direct neutralization technology was found to be superior to that of the metathesis product. The C22TA-Ca/C22TA-Zn thermal stabilizer from direct neutralization method had overall superior thermal stability, and displayed modulus and glass transition comparable to that of metathesis product. Direct neutralization method was more excellent and convenient than metathesis technology.

**Keywords:** poly(vinyl chloride), mixed calcium and zinc thermal stabilizer, synthesis technology, thermal stabilization.

#### **INTRODUCTION**

Poly (vinyl chloride) (PVC) is inherent to auto-catalytic dehydrochrination<sup>1-2</sup> which could result in severe degradation of the polymer and potential harm to the processing equipment. Thermal stabilizers are necessary to be in the formulation to prevent the undesirable degradation of PVC during process<sup>3-5</sup>. A variety of PVC thermal stabilizers are available for various applications, including epoxidized sunflower oil<sup>5</sup>, layered and intercalated hydrotalcite-like materials<sup>6-7</sup>, metal soaps (calcium, zinc, lanthanum, aluminium, etc.)<sup>8-12</sup>, organotin compounds<sup>13</sup> and lead salts<sup>14</sup>. Though lead salts and organotin compounds are very efficient in stabilizing PVC during processing, the former are highly toxic while the latter are expensive. Therefore, industry gradually shifts its focus to the low-cost or non-toxic and more economical calcium and zinc carboxylates for thermal stabilizers.

PVC processing stabilization can be gauged by short-term stability (or early color) and long-term stability (often called point-to-black)<sup>15</sup>. Another definition of short-term stability is "off-the-mill" color, and the long-term stability is the point at which the degradation either has made the compound no longer reclaimable or begins to endanger the processing equipment<sup>15</sup>. Calcium carboxylate salts provide long-term thermal stability for PVC by absorbing HCl. In contrast, zinc carboxylate salts effectively inhibit discoloration by substituting labile chlorine atoms in PVC chains, but do not provide long-term stability<sup>16–18</sup>. However, the mixed Ca/Zn thermal stabilizers at an appropriate ratio exhibit synergistic effects with both acceptable initial color and long-term stability for PVC products<sup>9, 19–20</sup>.

Calcium and zinc salts of carboxylic acids (also called soaps) derived from dimer fatty acids (DFA), tung-maleic anhydride, and so on can be prepared by either direct

neutralization process<sup>21–23</sup> or metathesis process<sup>24–26</sup>. For example, calcium sebacate and zinc sebacate were synthesized directly by reacting calcium hydroxide and zinc hydroxide aqueous solution with sebacic acid, respectively, and the precipitated salts (soaps) were collected by filtration and then washed with water<sup>21</sup>. The zinc and calcuim salts of glutaric acid - a dicarboxylic acid, were prepared similarly by reacting glutaric acid with zinc oxide (ZnO) and calcium oxide (CaO) in toluene, respectively<sup>22</sup>. The zinc and calcium salts of dicarboxylic acid can also be prepared by a metathesis process, i.e., neutralization with NaOH follwed by salt metathesis reaction<sup>24</sup>. However, the metathesis process with water as solvent and mild reaction conditions resulted in a lot of waste water and products of low purity and light color<sup>27</sup>. In contrast, the direct neutralization process has the advantages of simple process, short production cycle and high yield, but it tends to give the product with a darker color<sup>28</sup>. On the other hand, the difference in stabilizing effects and thermal degradation kinetics between the two mixed Ca/ Zn thermal stabilizers prepared by direct neutralization and metathesis process is still unknown.

In this work, calcium and zinc salts of dimer fatty acids (DFA) and the adduct of maleic anhydride and methyl eleostearate (MAME) were introduced as PVC thermal stabilizers. DFA and MAME were converted to calcuim and zinc salts by direct neutralization and metathesis, respectively. Thermal stabilizing effects of the mixed Ca/Zn salts prepared from both processes were investigated and compared. In addition-dynamic mechanical properties of the stabilized PVC compounds were also studied.

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#### **EXPERIMENTAL**

#### Material

The adduct of maleic anhydride and methyl eleostearate (MAME) (acid value, 269.0 mg KOH/g; saponification value, 360  $\pm 10$  mg KOH/g, color(Fe-Co)  $\leq 15$ )was obtained from the Institute of Chemical Industry of Forestry Products. The product was a mixture of maleated methyl esters of various fatty acids with MAME as the major component. Dimer fatty acid (dimers  $\geq 95\%$ , acid value: 204.4 mg KOH/g) was obtained from the Shanghai Guxiang Chemical Company. PVC (DG-1000K) was purchased from Tianjin Dagu Chemical Co., Ltd, China. Commercial calcium and zinc stearates (CaSt<sub>2</sub>, calcium content: 6.59%; ZnSt<sub>2</sub>, zinc content: 10.28%) were purchased from the Xilong Chemical company, China. The Bis (2-ethylhexyl) terephthalate (DOTP) was obtained from Acros Organics. Dioctyl phthalate (DOP) and epoxidized soybean oil (ESO) were obtained from Qingzhou Shuntong Plastic Additive Factory, China. Commercial  $\beta$ -diketone (DK-melting point: 70.7°C) was obtained from Changzhou JiaRenWo Chemical Company.

#### **SYNTHESIS**

### Preparation of zinc and calcium soaps of dimer fatty acid(DFA)

### Synthesis of zinc and calcium soaps of DFA by direct neutralization

Calcium and zinc soaps were synthesized by reacting DFA with Ca(OH)<sub>2</sub> and ZnO, respectively. DFA(0.1 mol) was dissolved in 1,4-dioxane (200 mL), the mixture was introduced into a 1-L four-necked round-bottom flask equipped with a mechanical stirrer, a thermometer and a reflux condenser. The mixture was then heated to 80°C before adding Ca(OH)<sub>2</sub> (0.099 mol) and ZnO (0.099 mol). The final mixture was heated to 120°C;

the reaction was continued for 3 h. Finally, the solvents were removed using vacuum distillation. Hereafter, the single-step DFA calcium and zinc salts are referred to as DFA-Ca-I and DFA-Zn-I.

### Synthesis of zinc and calcium soaps of DFA by metathesis

Both zinc and calcium salts of DFA were prepared in a two-step, neutralization followed by salt metathesis. Sodium soap of diacid was prepared by mixing 0.1 mol of diacid and 0.2 mol of NaOH using 150 mL acetone and 5 mL deionized water as solvents. The mixture was stirred vigorously at 50°C for 30 min. After cooling to -15°C for 24 h, the reaction mixture was filtered and washed with acetone several times, giving sodium soap in powder form. The sodium soap was dried in a vacuum at 70°C for 10 h.

In preparing the calcium soaps, 0.1 mol sodium soap was dissolved in 100 mL of water, and slowly added to 0.1 mol of CaCl<sub>2</sub> solution (0.1 mol CaCl<sub>2</sub> dissolved in 100 mL ethanol and 100 mL of deionized water). The reaction was continued at  $70^{\circ}$ C for 3 h. The precipitated metal soap was washed several times with deionized water, filtered, and dried in an oven under a 400 mg Hg vacuum at  $70^{\circ}$ C for 24 h. Zinc soaps were prepared from zinc sulfate (ZnSO<sub>4</sub> · 7H<sub>2</sub>O) and diacid in a procedure similar to that of the calcium soaps. The DFA calcium and zinc salts obtained in two-step are referred to as DFA-Ca-II and DFA-Zn-II.

#### Preparation of zinc and calcium soaps of C22TA

Scheme 1 shows the synthesis route of C22TA-Ca and C22TA-Zn by the direct neutralization method and metathesis technology.

### Synthesis of zinc and calcium soaps of C22TA by direct neutralization

1) Synthesis of C22 tricarboxylic acid (C22TA).

The major ingredient of MAME is shown below:

Scheme 1. Synthetic routes of direct neutralization and metathesis process of calcium and zinc salts of the C22TA tricarboxylic acid

NaOH (46.15 g) deionized water (50 mL) ethanol (100 mL) were charged into a 500 mL four-necked round-bottom flask equipped with a mechanical stirrer, a thermometer and a reflux condenser. Next, the mixture was heated to 70°C, and MAME (100 g) was slowly dropped into the flask. Reaction was continued at 70°C for 1.5 h. After the reaction was cooled to room temperature, HCl aqueous solution was added until the pH value of the reaction mixture reached 2. The mixture was stirred at 40°C for 30 min and then washed with deionized water until the pH value of the water was 7. After the residual water was removed using a rotary evaporator under vacuum, the product C22 tricarboxylic acid (C22TA) with an acid value of 379.4 mg KOH/g was obtained.

2) Preparation of zinc and calcium soaps of C22TA by direct neutralization.

Calcium soap and zinc soap were synthesized by reacting C22TA with Ca(OH)<sub>2</sub> and ZnO, respectively. C22TA (0.05 mol) was dissolved in 1, 4-dioxane (200 mL), and the mixture was introduced into a 1-L four-necked round-bottom flask equipped with a mechanical stirrer, a thermometer and a reflux condenser. Next, the mixture was heated to 80°C, and Ca(OH)<sub>2</sub> (0.0735 mol) or ZnO (0.0735 mol) was added. The final mixture was heated to 120°C and kept reacting for 3 h. Finally, the solvent was removed by vacuum distillation. Hereafter, the C22TA calcium salt (C22TA -Ca) and C22TA zinc salt (C22TA-Zn) prepared from direct neutralization method are named C22TA-Ca-I, C22TA-Zn-I, respectively.

# Synthesis of zinc and calcium soaps of C22TA by metathesis

The zinc soaps and calcium soaps of C22TA were prepared by sodium salt formation followed by metathesis (Scheme 1). Sodium soap of C22TA was prepared by mixing 0.019 mol MAME (7.8 g ) and 0.06 mol NaOH (2.4 g ) in a mixture of ethanol (45 mL) and water (15 mL). The mixture was stirred vigorously at 70°C for 2 h and then neutralized using a 6 M HCl solution until the pH value was 8~9. Next, the solution of sodium soap was slowly added to a 0.029 mol CaCl<sub>2</sub> solution (3.36 g of CaCl<sub>2</sub> with a purity of 96% in a mixutre of 50 mL of deionised water and 100 mL ethanol). The reaction was continued at 70°C for 2 h. The precipitated calcium soap was washed several times with deionised water, filtered and dried in an oven under vacuum at 70°C for 24 h. Zinc soap was prepared from the reaction of zinc chloride (4.03 g of ZnCl<sub>2</sub> with a purity of 98% dissolved in a mixture of 50 mL of deionised water and 100 mL ethanol) and sodium soap of C22TA in a procedure similar to that for calcium soaps. Hereafter, the C22TA calcium salt (C22TA-Ca) and C22TA zinc salt (C22TA--Zn) obtained from the metathesis process are named C22TA-Ca-II, C22TA-Zn-II, respectively.

### **CHARACTERIZATION**

Fourier Transform Infrared (FTIR) analysis was performed on an IS10 spectrometer (Nicolet, America) in an attenuated total reflectance (ATR) mode. The film sample was scanned from 4.000 to 400 cm<sup>-1</sup> for 16 times with a resolution of 4 cm<sup>-1</sup>.

The metal (Ca and Zn) content of the thermal stabilizers was measured using an Optima 7000 inductively coupled plasma atomic emission spectrometer (ICP-AES) (PerkinElmer, USA).

#### Preparation of PVC films

The formulations of all PVC compounds were shown in Table 1 and Table 3. First, PVC powder, DOP(or DOTP), thermal stbilizers and auxiliary heat stabilizers (ESO and DK) were mixed using a mechanical mixer at room temperature for 5 min. Subsequently, the mixture was compounded in a homogeneous mixer at 165°C for 3 min using a Haake torque rheometer (Thermo, Germany). Films (~1.5 mm thick) were made using a mini hot press (model 3912, Carver) at ~175°C to 185°C.

### THERMAL AND MECHANICAL PROPERTIES OF STABILIZED PVC

#### Thermal stability analysis

Static thermal stability analysis was performed by Congo Red test according to ISO 182-1-1990. A piece of PVC film (about 2 mm × 2 mm) was placed in a closed test tube which was heated to 180°C until a strip of CR paper placed at the top of the tube changed color from red to blue (equivalent to ~pH 3). The time taken for the color change is referred as the stability time. The schematic diagram of the Congo red test device was described in Ref.<sup>13</sup>.

Dynamic thermal stability analysis was performed by torque rheology according to ASTM D 2538-02 as follows. PVC and thermal stabilizer were mixed in a Haake Rheometer RC90 mixing chamber at 180°C with a rotor speed of 30 rpm for 60 min. The dynamic thermal stabilizing time is defined as the time when the torque on the rotor starts to change abruptly.

The discoloration test of PVC samples stabilized by different thermal stabilizers was tested according to ISO 305:1990(E). The PVC films with thickness of ~1mm were cut into squares with a length of 15 mm, and then each square was wrapped individually using aluminium-foil paper. The empty test tubes were placed in a temperature-controlled oil bath at 185°C for 20 min, and then to each test tube was added one wrapped square strip. From this point, one test tube was removed from the oil bath every 10 min. The effect of the stabilizers was evaluated by the comparisons of visual color differences of the heated PVC strips.

Thermal decomposition kinetics was studied using a Q600 TGA instrument (TA Instruments). Each sample was scanned from 30 to  $400^{\circ}$ C under nitrogen at flow rate of 100 mL/min and heating rates of 5, 10, 15, 20 and 25°C/min. The Kissinger equation yields a simple relationship between the peak temperature on the DTG curve  $(T_p)$  and the heating rate  $(\beta)$ . Kinetic parameters such as activation energy (E, kJ/mol) and pre-exponential factor (A) were evaluated using the Kissinger equation:

$$\ln\left(\frac{\beta_{i}}{T_{p}^{2}}\right) = \ln\frac{A_{k}R}{E_{k}} - \frac{E_{k}}{R}\frac{1}{T_{p}} \qquad [i = 1, 2, 3, 4, 5]$$
(1)

where  $R = \text{Gas constant } (8.314 \text{ J/mol} \cdot \text{K})$ . Equation (1) indicates that  $\ln(\beta_i/T_p^2)$  and  $1/T_p$  have a linear correlation.

Finally, activation energy(E) and pre-exponential factor (A) can be calculated from the slope (a) and intercept (d) on the curves of  $\ln(\beta_i/T_p^2)$  change versus  $1/T_p$ .

$$E_{k} = -a \cdot R \tag{2}$$

$$\ln(\mathbf{A}_{k}) = d + \ln(\frac{E_{k}}{R}) \tag{3}$$

### DYNAMIC MECHANICAL ANALYSIS (DMA)

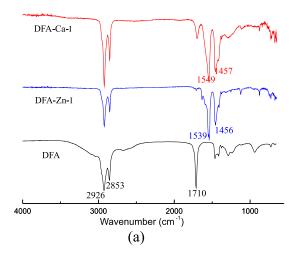
Dynamic mechanical analysis (DMA) of the sample was performed on a DMA Q800 (TA Instruments) in a dual-cantilever mode with an oscillating frequency of 1 Hz. The temperature was swept from -80 to  $80^{\circ}$ C at a heating rate of  $3^{\circ}$ C/min. For each sample, duplicate tests were performed in order to ensure the reproducibility of data.  $T_{\rm g}$  was determined from the maximum peak temperature of the tan  $\delta$  curve.

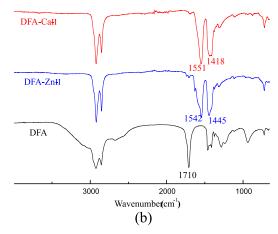
#### RESULTS AND DISCUSSION

### Characterization of C22TA, DFA, C22TA-Ca, C22TA-Zn, DFA-Ca and DFA-Zn

The FTIR spectra of the zinc and calcium dicarboxylates (DFA-Zn-I, DFA-Zn-II & DFA-Ca-I, DFA-Ca-II) are shown in Figure 1. The strong absorption peak at 3200~2500 cm<sup>-1</sup> in the FTIR spectrum of the DFA (attributed to the hydroxy of the carboxyl group) nearly disappeared in DFA-Zn-I, DFA-Zn-II, DFA-Ca-I and DFA-Ca-II. Similarly, the absorption peak at 1710 cm<sup>-1</sup> in the FTIR spectrum of the DFA, attributed to carbonyl, nearly disappeared in DFA-Zn-I, DFA-Zn-II, DFA-Ca-I and DFA-Ca-II. The absorption peaks at 1551, 1542, 1539 and 1549 cm<sup>-1</sup> were due to the asymmetric stretching vibration of the carboxylate. The absorption peaks at 1418, 1445, 1456 and 1457 cm<sup>-1</sup> were due to the symmetric stretching vibration of the carboxylate. Based on the acid value of DFA, the theoretical Ca and Zn contents in DFA-Ca and DFA-Zn would be 6.82% and 10.62%, respectively. The measured Ca and Zn contents in DFA-Ca-I and DFA-Zn-I were 5.78% and 9.15%, respectively. The measured Ca and Zn contents in DFA-Ca-II and DFA-Zn-II were 6.55% and 10.20%, respectively, which suggest the conversions of the carboxylic acid groups were 96.04% and 96.01%, respectively. The FTIR and ICP-AES results suggested that DFA was successfully converted to calcium and zinc soaps.

Figure 2 shows the FT-IR spectra of MAME, C22TA, C22TA-Ca and C22TA-Zn salts. The absorption peaks at 1864 cm<sup>-1</sup> and 1781 cm<sup>-1</sup> in the FTIR spectrum of MAME, which were attributed to the acid anhydride, disappeared in the C22TA and corresponding salt products. The absorption peak at ~1550 cm<sup>-1</sup> was due to the antisymmetric stretching vibration of carboxylate and the absorption peak at ~1414 cm<sup>-1</sup> attributed to the symmetric stretching vibration of carboxylate. The absorption peaks at 1704 cm<sup>-1</sup>, 1717 cm<sup>-1</sup> and 1736 cm<sup>-1</sup> which were attributed to the carbonyl, almost disappeared in the spectra of the salt products. Based on the acid value and saponification value of MAME, the theoretical Ca and Zn contents in C22TA-Ca and C22TA-Zn would be 11.46% and 17.36%, respectively. However, the measured Ca and Zn contents in C22TA-Ca-I and C22TA-Zn-I were 12.08% and 19.70%, respectively. This



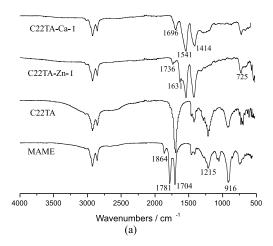


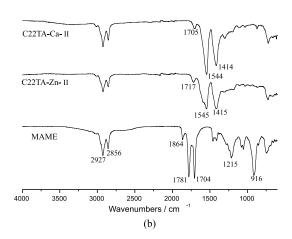
**Figure 1.** FTIR spectra of DFA, DFA-Ca and DFA-Zn. (a) and (b) were calcium and zinc soaps of DFA derived from direct neutralization method and metathesis process, respectively

result suggests that there might be a little unreacted Ca(OH)<sub>2</sub> in C22TA-Ca-I and ZnO in the C22TA-Zn-I thermal stabilizers. In contrast, the measured Ca and Zn contents in C22TA-Ca-II and C22TA-Zn-II were 10.60% and 15.22%, respectively, which suggest the conversions of the carboxylic acid groups were 92.5% and 87.7%, respectively. The FTIR and the metal content of calcium or zinc soap suggest that MAME were successfully converted to calcium and zinc soaps by direct neutralization and metathesis process, respectively. However, there was still a small fraction of the carboxylic acid groups, which was not converted into calcium or zinc soaps.

### Effects of the thermal stabilizers of DFA on the thermal stability of PVC

PVC processing stabilization can be gauged by the induction period and stabilization period. The induction time is the time of the early stage of the irreversible color change measured under specified practical conditions and a certain temperature. The stability time is defined as the time from begining to the specified point at which the irreversible changes have made the compound no longer reclaimable or begins to endanger the processing equipment. Both the induction time and stability time can represent the PVC stability. For comparison, all PVC compounds were formulated on the same basis in terms of individual ingredients (Table 1).





**Figure 2.** FTIR spectra of MAME, C22TA, C22TA-Ca and C22TA-Zn. (a) and (b) were zinc tricarboxylates and calcium tricarboxylates derived from direct neutralization method and metathesis process, respectively

Table 1. Formulations for three thermal stabilizers

Ingredients	Formulations					
	I	II	III			
PVC	100	100	100			
DOTP	50	50	50			
CaSt <sub>2</sub> + ZnSt <sub>2</sub>	2.4 g + 0.6 g	0	0			
DFA-Ca-I+ DFA-Zn-I	0	2.4 g + 0.6 g	0			
DFA-Ca-II + DFA-Zn-II	0	0	2.4 g + 0.6 g			

The static thermal stability times ( $T_{\rm ss}$ ) were measured using Congo Red test. The effects of each stabilizer on the  $T_{\rm ss}$  of the PVC compounds at 185°C are shown in Table 2. The results indicated that the DFA-Ca-I /DFA-Zn-I significantly influenced long-term heat stability. The  $T_{\rm ss}$  values of the formulations followed the order of DFA-Ca-I /DFA-Zn-I (49.8 min)> DFA-Ca-II /DFA-Zn-II (31.0 min) > CaSt<sub>2</sub>/ZnSt<sub>2</sub> (20.9 min).

Discoloration test results for the thermal stabilizers (at 185°C) are also shown in Table 2. The PVC strips containing the CaSt<sub>2</sub>/ZnSt<sub>2</sub> stabilizer exhibited excellent early color retention (up to 10 min), but turned competely black in 30 min. The PVC strips with the DFA-Ca-I/DFA--Zn-I stabilizer lasted 60 min before turning completely black. The PVC samples stabilized by the DFA-Ca-I/ DFA-Zn-I stabilizer had longer stability times than those using DFA-Ca-II/DFA-Zn-II and CaSt<sub>2</sub>/ZnSt<sub>2</sub> According to the Ca and Zn contents in DFA-Ca-I/DFA-Zn-I and DFA-Ca-II/DFA-Zn-II, it can be concluded that the conversions of the carboxylic acid groups in DFA-Ca-I/ DFA-Zn-I were slightly lower than DFA-Ca-II/DFA-Zn-II. However, the thermal stability of DFA-Ca-I/DFA-Zn-I is comparable with DFA-Ca-II/DFA-Zn-II thermal stabilizer. This result might be due to the slightly higher zinc content in DFA-Zn-II than DFA-Zn-I. As we know, zinc soaps can effectively inhibit discoloration by substituting labile chlorine atoms in PVC chains but provide a poor long-term stability because of the phenomenon of "zinc burn"  $^{16-18}$ . In spite of the zinc content of DFA-Zn-II can be turn down by decreasing the mole ratio of ZnSO $_4\cdot 7H_2O$  to DFA in the process of synthesizing DFA-Zn-II. However, the process of synthesizing DFA-Zn-II resulted in a lot of waste water.

The TGA and DTG curves for PVC with each of the thermal stabilizers are shown in Figure 3. The kinetic parameters for the three thermal stabilizers are summarized in Figure 4. PVC compositions stabilized with DFA-Ca-I/DFA-Zn-I had higher thermal degradation temperatures than PVC stabilized with the CaSt<sub>2</sub>/ZnSt<sub>2</sub> and DFA-Ca--II/DFA-Zn-II. The  $E_a$  values of the formulations followed the order of DFA-Ca-I/DFA-Zn-I (115.1  $kJ \cdot mol^{-1}$ ) > DFA-Ca-II/DFA-Zn-II (109.7  $kJ \cdot mol^{-1}$ )  $> \text{CaSt}_2/\text{ZnSt}_2$  (101.4 kJ · mol<sup>-1</sup>). The PVC sample containing DFA-Ca-I/DFA-Zn-I had the highest thermal degradation activation energy  $(E_a)$ . Generally, a higher E suggests a higher resistance to thermal degradation. Therefore, the TGA results indicate that DFA-Ca-I/DFA--Zn-I was a more effective PVC thermal stabilizer than either the commercial thermal stabilizer or DFA-Ca-II/ DFA-Zn-II thermal stabilizer.

Table 2. Congo Red tests and discoloration tests of PVC samples in the presence of various stabilizers at 185°C

Formulations	T <sub>ss</sub> [min]	Degradation time [min]							
	I ss [IIIIII]	0	10	20	30	40	50	60	
CaSt <sub>2</sub> /ZnSt <sub>2</sub>	20.9				1				
DFA-Ca-I/DFA-Zn-I	49.8					No.		_6	
DFA-Ca-II/DFA-Zn-II	31.0								

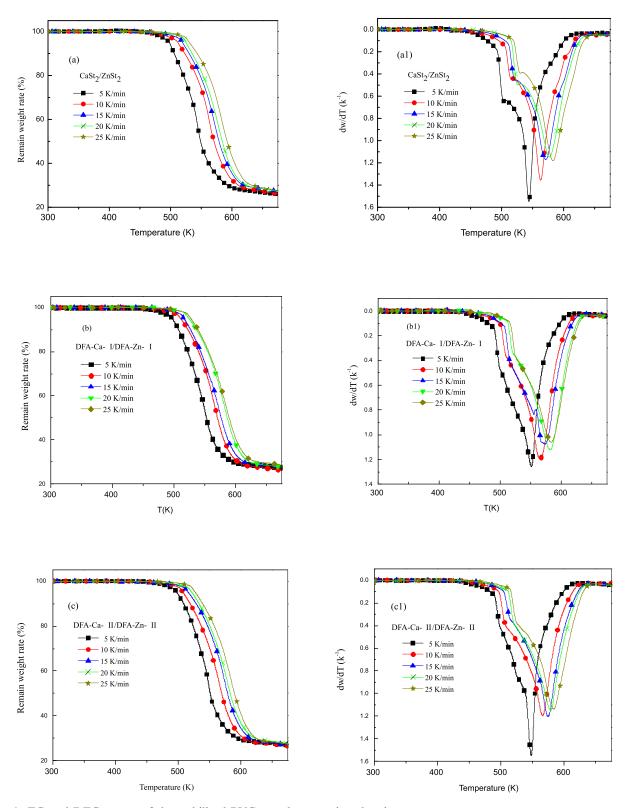


Figure 3. TG and DTG curves of the stabilized PVC samples at various heating rates

# Effects of the thermal stabilizers of C22TA on the thermal stability and mechanical properties of PVC

All PVC compounds stabilized with the thermal stabilizers of C22TA were formulated on the same basis in terms of individual ingredients (Table 3). DOP is a plasticizer and epoxidized soybean oil (ESO) is an auxiliary plasticizer with heat stabilizing effect. The epoxy groups of ESO can easily react with the HCl and hence prevents the autoacceleration. Zinc soaps can effectively inhibit initial discoloration by substituting labile chlorine atoms in PVC chains but provide a poor long-term stability because of the generated ZnCl<sub>2</sub> which is a catalyst for

the elimination of HCl.  $\beta$ -diketone (DK) is an auxiliary thermal stabilizer, which can effectively improve initial color and the long-term stability of the thermal stabilizers<sup>29–30</sup>. The functional mechanism of DK as an auxiliary thermal stabilizer is shown in Scheme 2.

# Effects of the thermal stabilizers of C22TA on the thermal stability of PVC

Dynamic thermal stability time ( $T_{ds}$ ) and equilibrium torque of the four films (Table 4) were measured using a Haake torque rheometer at 180°C (Fig. 5), while static thermal stability time ( $T_{ss}$ ) were measured using

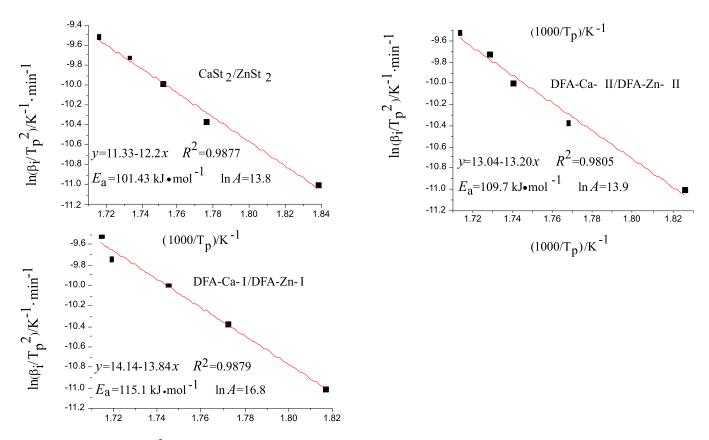


Figure 4. Plots of ln(βi/Tp²) versus 1000/Tp and kinetic parameters for the PVC samples stabilized with four thermal stabilizers

a Congo red test device at 185°C. The results of  $T_{ss}$ ,  $T_{ds}$  and the equilibrium torque are summarized in Table 4. The  $T_{ss}$  values of different PVC compounds followed the order of C22TA-Ca/Zn Stab-I/ESO/DK (51.8 min) > C22TA-Ca/Zn Stab-II/ESO/DK (45.8 min) > C22TA-Ca/Zn Stab-I (27.2 min) > C22TA-Ca/Zn Stab-II (17.8 min). This result is consistent with the  $T_{ds}$  result. The stabilizers prepared by direct neutralization exhibited higher thermal stabilizing effect for PVC compounds, and addition of ESO and DK greatly improved thermal stability of the PVCcompounds. The equilibrium torque

values of different PVC compounds followed the order of C22TA-Ca/Zn Stab-II (3.5 Nm) > C22TA-Ca/Zn Stab-I (3.2 Nm) > C22TA-Ca/Zn Stab-I/ESO/DK (2.6 Nm) > C22TA-Ca/Zn Stab-II/ESO/DK (2.4 Nm). In general, the stabilizers prepared by direct neutralization led to high equilibrium torque than the stabilizers prepared by metathesis process, and addition of ESO and DK lowered the equilibrium torque. Because the melt viscosity is proportional to the torque, lower equilibrium torque suggests a more optimal processing rheology. These results also indicate that ESO and DK were more effective

Scheme 2. Functional mechanism of β-diketone as an auxiliary PVC thermal stabilizer

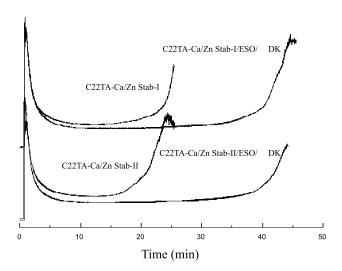
Table 3. Formulations of PVC samples stabilized by different thermal stabilizers

	Formulation I	Formulation II	Formulation III	Formulation IV	
Ingredients	C22TA-Ca/Zn-Stab-II	C22TA-Ca/Zn-Stab-I	C22TA-Ca/Zn- -Stab-II/ESO/DK	C22TA-Ca/Zn-Stab- -I/ESO/DK	
PVC	100	100	100	100	
DOP	50	50	50	50	
C22TA-Ca-II	1.6	0	1.6	0	
C22TA-Zn-II	0.4	0	0.4	0	
C22TA-Ca-I	0	1.6	0	1.6	
C22TA-Zn-I	0	0.4	0	0.4	
Epoxidized soy oil (ESO)	0	0	3	3	
β-diketone (DK)	0	0	0.3	0.3	

**Table 4.** Comparison of effects of different thermal stabilizers on thermal stability and processing rheological property of PVC compounds

Sample entry	Stabilizer	T <sub>ds</sub> <sup>a</sup> /[180°C]	T <sub>ss</sub> <sup>b</sup> /[185°C]	Equilibrium torque/Nm
1	C22TA-Ca/Zn Stab-II	16.8 min	17.8 min	3.5
2	C22TA-Ca/Zn Stab-II/ESO/DK	38.1 min	45.8 min	2.4
3	C22TA-Ca/Zn Stab-I	20.7 min	27.2 min	3.2
4	C22TA-Ca/Zn Stab-I/ESO/DK	40.0 min	51.8 min	2.6

<sup>&</sup>lt;sup>a</sup> The dynamic thermal stability time; <sup>b</sup> Static thermal stability time.



**Figure 5.** Dynamic thermal stability times of the PVC compounds stabilized with different thermal stabilizers at 180°C

in improving the processing rheological properties and stabilizing PVC.

Table 5 shows the results of discoloration tests on the strips of PVC compounds containing different thermal stabilizers at 185°C. As a result, the long-term stability of different PVC compounds followed the order of C22TA--Ca/Zn Stab-I/ESO/DK > C22TA-Ca/Zn Stab-II/ESO/DK > C22TA-Ca/Zn Stab-I > C22TA-Ca/Zn Stab-II. The PVC strip of C22TA-Ca/Zn Stab-I/ESO/DK presented the lightest color after 40 min and required the longest time (80 min) to turn completely black. Again, PVC stabilized by C22TA-Ca/Zn Stab-I displayed more excellent thermal stability than that stabilized by C22TA-Ca/Zn Stab-II. This result might be due to a small amount of the unreacted Ca(OH)<sub>2</sub> and ZnO in the C22TA-Ca/Zn Stab-I thermal stabilizers. It was very hard to remove the unreacted Ca(OH)<sub>2</sub> and ZnO from the C22TA-Ca/ Zn Stab-I thermal stabilizers. However, the Ca(OH), was converted to CaO during heating, which then neutralized the HCl evolved during dehydrochlorination. The little

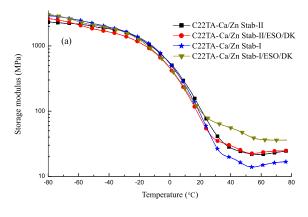
ZnO in C22TA-Zn-I also captured the HCl evolved and subsequently prevented accelerated dehydrochlorination to some extent. Compared with C22TA-Ca/Zn Stab-II thermal stabilizers, the higher thermal stability could be attributed to the dual action of the metal carboxylate and hydroxide present in C22TA-Ca/Zn Stab-I thermal stabilizers. That does not means that a large amount of Ca(OH)<sub>2</sub> and ZnO in thermal stabilizers will not influence the thermal stability. For instance, excess ZnO can cause the phenomenon of "zinc burn" due to the existence of excess ZnCl<sub>2</sub>. These results of the effects of the mixed Ca/Zn thermal stabilizers derived from C22TA and DFA on PVC thermal stability indicated that the direct neutralization process not only had the advantages of simple process, short production cycle and no waste water, but could offer excellent mixed Ca/Zn thermal stabilizers for PVC when compared with the metathesis process. On the other hand, a small amount of the unreacted Ca(OH)<sub>2</sub> and ZnO brought by the direct neutralization process did not destory the thermal stabilizing effect of the mixed Ca/Zn thermal stabilizers.

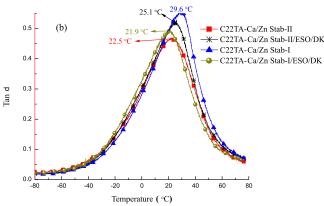
# Effects of the thermal stabilizers of C22TA on the mechanical properties of PVC

Figure 6 shows the changes of storage modulus (E') and damping ( $\tan \delta$ ) of different PVC film samples with temperature. All compositions displayed very similar E' in the glassy state and glass transition region. The PVC compounds experienced a sharp drop in the broad glass transition region from -30 to  $40^{\circ}$ C. Above  $30^{\circ}$ C, the E' of the C22TA-Ca/Zn Stab-I/ESO/DK stabilized PVC was slightly higher than others, and the one with C22TA-Ca/Zn Stab-I exhibited lower storage modulus. In general, E' changed little from stabilizer to stabilizer. On the other hand, all PVC compounds exhibited a single  $\tan \delta$  peak, indicating a homogeneous morphological structure for all compounds. If the peak temperature of  $\tan \delta$  peak is assumed to be  $T_g$ , the broad glass transition region seen in all these compounds is a typical phenomenon for pla-

Table 5. Discoloration of PVC samples as a function of degradation time at 185°C

Formulations	Time [min]								
Formulations	0	10	20	30	40	50	60	70	80
C22TA-Ca/Zn Stab-II			· 原文 · ·		3				
C22TA-Ca/Zn Stab-I									
C22TA-Ca/Zn Stab-II + ESO/DK		1	1:1			ENT.			
C22TA-Ca/Zn Stab-I + ESO/DK		40	14	Same of the same o			To Any		





**Figure 6.** Storage modulus (E') and  $tan \delta$  of the PVC samples with different thermal stabilizers

sticized polymers with different additives and is a result of multiple relaxation times of the polymer molecules.

#### **CONCLUSIONS**

Two technologies of direct neutralization and metathesis methods were used to synthesize zinc and calcium salts of DFA and MAME. Results indicated that PVC films stabilized by the thermal stabilizers produced using direct neutralization method (DFA-Ca-I/DFA-Zn-I) offered greater thermal stability than the metathesis products (DFA-Ca-II/DFA-Zn-II). PVC films stabilized by C22TA--Ca/Zn Stab-I thermal stabilizer have better processing rheological property and thermal stability than that of C22TA-Ca/Zn Stab-II. For example, the  $E_a$  values of the formulations followed the order of DFA-Ca-I / DFA-Zn-I (115.1 kJ ·  $mol^{-1}$ ) > DFA-Ca-II/DFA-Zn-II  $(109.7 \text{ kJ} \cdot \text{mol}^{-1}) > \text{CaSt}_2/\text{ZnSt}_2 (101.4 \text{ kJ} \cdot \text{mol}^{-1})$ . The static stability time values at 185°C of different PVC compounds followed the order of C22TA-Ca/Zn Stab--I/ESO/β-diketone (51.8 min)> C22TA-Ca/Zn Stab-II/ ESO/β-diketone (45.8 min)> C22TA-Ca/Zn Stab-I (27.2 min) > C22TA-Ca/Zn Stab-II (17.8 min). This study suggests that the raw materials of this research are renewable resources. This study will be beneficial to promote the domestic low-carbon economic development and find the properties difference between the two kinds of synthetic technology of the mixed Ca/Zn thermal stabilizers.

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