

Influence of the structural and surface properties on photocatalytic activity of TiO₂:Nd thin films

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Titanium dioxide thin films doped with the same amount of neodymium were prepared using two different magnetron sputtering methods. Thin films of anatase structure were deposited with the aid of Low Pressure Hot Target Magnetron Sputtering, while rutile coatings were manufactured using High Energy Reactive Magnetron Sputtering process. The thin films composition was determined by energy dispersive spectroscopy and the amount of the dopant was equal to 1 at. %. Structural properties were evaluated using transmission electron microscopy and revealed that anatase films had fibrous structure, while rutile had densely packed columnar structure. Atomic force microscopy investigations showed that the surface of both films was homogenous and consisted of nanocrystal-line grains. Photocatalytic activity was assessed based on the phenol decomposition. Results showed that both thin films were photocatalytically active, however coating with anatase phase decomposed higher amount of phenol. The transparency of both thin films was high and equal to ca. 80% in the visible wavelength range. The photoluminescence intensity was much higher in case of the coating with rutile structure.

Keywords: TiO₂, neodymium, thin films, magnetron sputtering, photocatalysis, phenol decomposition.

INTRODUCTION

One of the materials that has lately attracted much attention is titanium dioxide $(TiO_2)^{1-4}$. Such interest is related to the unique properties of this material, e.g. nontoxicity, thermal, mechanical and chemical stability, transparency for the light in the wide spectral range, high dielectric constant and photocatalytic activity⁵⁻⁹. According to the application it is desired to obtain titanium dioxide with different crystal structure - anatase, rutile as well as a mixture of these two phases. Thin films with the rutile structure can be used as films with high refractive index in case of antireflective coatings, optical filters, protective coatings for solar cells or touch panels¹⁰⁻¹³. In turn, TiO₂ thin films with anatase structure are widely applied as coatings with hydrophilic and self-cleaning properties due to their high photocatalytic properties¹⁴⁻¹⁶. Titania is well known and widely used effective photocatalyst for the photodegradation of organic pollutants in water and air¹⁷. The efficiency of the photocatalytic process of TiO₂ in aqueous solution depends on the electron-hole pairs generated under the light illumination, separation and transfer of electrons and holes to harmful compounds adsorbed on the catalyst surface¹⁸. The photogenerated holes in the titania are highly oxidizing and play key role in the photocatalysis process. However, recombination of electron/hole pairs significantly decreases its efficiency¹⁹. Therefore, various efforts are made to avoid the recombination process. Doping the titania catalyst with proper metal ions could be advantageous and increase its photocatalytic properties by introducing or increasing the number of trapping sites. Trapping electrons and holes can lead to increased lifetimes and therefore the probability of reaching the surface without further recombination, allowing them to take part in the photocatalysis reaction²⁰.

Lanthanides as dopants for thin films based on TiO_2 can modify their surface properties that favourably influ-

ence on e.g. increase of photocatalytic activity²¹⁻²³. Most of the rare earths (Ce, Sm, Er, La, Eu, Pr, Gd and Nd) have been found to improve photocatalytic properties of TiO₂. Among samples doped with Sm, Ce, Er, Pr, La the Gd- and Nd-doped TiO₂ showed highest photocatalytic activity¹⁸. Depending on the experimental setup, time of the decomposition and decomposed material the photocatalytic activity of pure titania in the form of nanopowders reported in the literature may be high^{17, 18, 23, 24}. However, the photocatalytic activity of TiO₂ may be further enhanced by doping with e.g. neodymium. Jiang et al.25 reported that only precisely selected amount of Nd dopant can significantly increase the photocatalytic properties of TiO₂. For example, Khalid et al.²⁶ stated that the optimum value of the neodymium dopant incorporated into titania nanoparticles is ca. 1 at. % and then the efficiency of the photocatalytic reaction is enhanced by 20%. Also Bokare et al.²⁷ showed that incorporation of 1 at. % of neodymium increased the decomposition efficiency of 30% as-compared to undoped TiO₂. The effectiveness of the dopant is related to its ionic radius and oxygen affinity of the dopant ion. Therefore, the large Nd³⁺ ion can produce a localized charge perturbation when it is present substitutionally in titania and, in such way, enhances its photocatalytic activity²⁸.

Up to date there are a lot of reports regarding the preparation of the oxide composites based on titanium and neodymium by various techniques. Most of them concern preparation of nanopowders and the commonly used sol-gel method^{27, 29}. However, the reports about preparation of TiO₂:Nd thin film coatings are rare e.g.^{30, 31} and among others one can also distinguish such fabrication methods as e.g.: pyrolysis³², spin-coating³³ or laser ablation³⁴. Also magnetron sputtering is a popular method for the deposition of thin film coatings in various fields of industry, e.g. optical coatings or thin-film gas sensors^{35, 36}. One advantage of this technique includes the

possibility of the deposition of dielectric, semiconducting or conducting thin films on large area surfaces³⁷.

In the literature the vast information about photocatalytic properties of titania in the form of nanopowders can be found, however there is a lack of data concerning photocatalytic activity of thin films based on TiO_2 , especially doped with neodymium^{21, 23}. Therefore, in this study, as-prepared Nd-doped titanium dioxide thin films with various crystal phases were prepared and used for the photocatalytic degradation of the phenol. Additionally, their structure, surface and optical properties were also determined.

EXPERIMENTAL DETAILS

To obtain various crystal phases, the titanium dioxide thin films doped with 1.0 at. % of neodymium were prepared by two different magnetron sputtering processes. It should be pointed out that in commonly used magnetron sputtering methods as-deposited thin films are amorphous and receiving nanocrystalline structure is possible only after additional annealing treatment at high temperatures. However, thin films prepared with the aid of the Low Pressure Hot Target Reactive Sputtering (LPHTRS) process had anatase structure, while using the High Energy Reactive Magnetron Sputtering process (HERMS) lead to obtaining rutile coatings directly after deposition process. These sputtering methods are unique and protected by several patent applications e.g.³⁸⁻⁴⁰. Both deposition processes were conducted by sputtering Ti-Nd mosaic metallic targets in pure oxygen plasma and the working pressure was kept below $10^{-1} \text{ Pa}^{38-40}$. Oxygen was used as the working and reactive gas. The main advantage of low pressure processes is a longer mean free path that allows oxygen ions to bombard the surface with much higher energy and as a result, small grains and nanocrystalline structure can be formed. During sputtering, the power released by the plasma allowed heating of the target surface close to the melting point. Increase of the target temperature favoured the stability of the discharge in transition mode and allowed the attainment of almost stoichiometric thin films⁴¹⁻⁴³. The magnetron was powered by a pulsed power supply working in the unipolar mode with 165 kHz sinusoidal pulses grouped with 1.6 kHz. The substrates were heated up to 570-680 K by radiation heaters. In HERMS process mentioned above, modifications were also used but the magnetron voltage amplitude was additionally increased from 1.2 kV to 1.8 kV and the cooling limitation of the sputtered target was applied³⁸⁻⁴⁰. Also some changes in the design of the applied supplier were introduced⁴⁴. Increased nucleation energy of the atoms was assured by the simultaneously increased energy of the incoming ions. Ion bombardment during the film growth enhanced its densification and stimulated the crystal growth at the substrates. Due to these changes it was possible to increase the overall energy of the sputtered particles and increase the sputtering temperature that simultaneously enabled on receiving uniform films with nanocrystalline rutile structure directly after deposition (without annealing) 45 .

The elemental composition of the prepared thin films was measured with the aid of FESEM FEI Nova Nano-

SEM 230 scanning electron microscope equipped with EDAX detector and the amount of neodymium was estimated to 1 at. %.

The microstructure and crystal phases of TiO_2 :Nd thin films were determined by TECNAI G² FEG Super-Twin (200 kV) transmission electron microscope. Bright field (BFTEM) and high resolution (HRTEM) observations were performed. High quality TEM lamellas were prepared using the FEI Quanta 3D apparatus with the aid of focused ion beam (Ga⁺, 30 kV).

The photocatalytic properties of nanocrystalline TiO₂:Nd thin films were determined based on phenol decomposition reaction. The experimental setup consisted of the UV-Vis light source (6 x 20 W Phillips lamps with intensity of UV and Vis radiation: 183 W/m² and 167 W/m², respectively) and cylindrical reservoir, which contained 150 mL of solution with a phenol concentration of 10 mg/L. To avoid the heating of the solution, the temperature was controlled by circulation of water through the jacket at a constant value of ca. 15°C. In order to provide a good mixing of the suspension all experiments were carried out under agitation with a magnetic stirrer, operating at 1000 rpm. No external oxygen supply was used. No measurable degradation of the phenol occurred in the absence of TiO_2 thin films. 30 minutes of premixing at a constant temperature in a dark condition was enough to achieve an adsorption/ desorption equilibrium and after that time the light was switched on to initiate the reaction. To determine the change of phenol concentration, samples were withdrawn from the reactor regularly every 60 minutes for 5 hours. The solutions were poured into a quartz cuvette and analyzed by OceanOptics QE 65000 UV-Vis spectrophotometer coupled with Mikropack DH-2000-BAL deuterium-halogen light source.

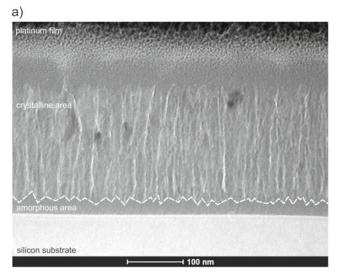
To determine the surface topography properties, the AFM measurements were performed by the UHV VT AFM/STM Omicron atomic force microscope operating in the ultra high vacuum conditions in the contact mode. X-Ray Photoelectron Spectroscopy (XPS) measurements were performed with the aid of a Specs Phoibos 100 MCD-5 (5 single channel electron multiplier) hemispherical analyzer using a Specs XR-50 X-ray source with Mg K α (1253.6 eV) beam. Measurements results were analyzed with the aid of CasaXPS software. All spectra were calibrated with respect to the binding energy of adventitious C1s peak at 284.8 eV. Analysis of the surface properties was completed by measurements of contact angle and critical surface tension, carried out with computer controlled Attension Theta Lite tensiometer. Liquids used for the contact angle determination were deionized water, ethylene glycol and ethanol. Contact angle measurements were performed according to the sessile drop method⁴⁶. Those results were used to calculate the critical surface tension of TiO_2 :Nd (1.0 at. %) thin films.

Optical properties were evaluated on the basis of transmission and reflection measurements. The experimental system was based on an Ocean Optics QE 65000 spectrophotometer and a coupled deuterium-halogen light source. On the basis of these measurements the analysis of the absorption coefficients and optical band gaps was performed. Additionally, the photoluminescence

(PL) experiments were carried out at room temperature using an Ar⁺ laser with 514.5 nm excitation wavelength and laser power equal to 15 mW.

RESULTS AND DISCUSSION

The bright field transmission electron microscopy observations of the coatings prepared by LPHTRS method showed that the thin films had pseudo-columnar structure of anatase crystal lattice with visible voids between crystal columns (Fig. 1a). An amorphous area of the sawtooth shape of ca. 30 nm thick is present at the substrate-coating interface. In the case of TiO2:Nd thin films prepared by HERMS method, the densely packed, defected columnar structure of rutile crystal lattice was observed (Fig. 1b). Also for this sample, the thin amorphous layer of ca. 25 nm directly at the substrate-coating interface was noticed. The crystalline structure was formed after some time of sputtering and random nucleation of the crystalline phase during the magnetron sputtering process occurred.



b)

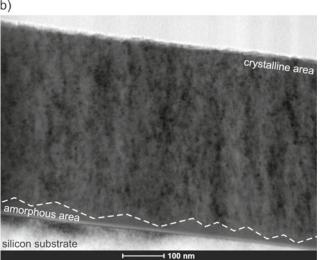


Figure 1. BFTEM images of the cross-section of TiO2:Nd [1.0 at. %] thin films with: a) anatase and b) rutile structure

Further studies performed with a high resolution transmission electron microscopy (HRTEM) confirmed that prepared thin films consisted of a fine nanocrystalline structure that are shown in Figure 2. In the case

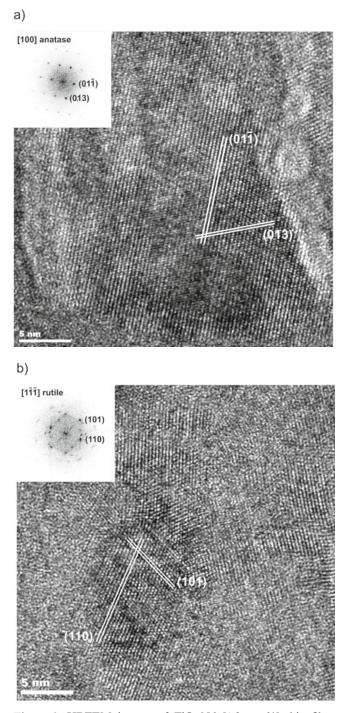


Figure 2. HRTEM images of TiO₂:Nd [1.0 at. %] thin films with: a) anatase and b) rutile phase. The insets show the FFT diffraction patterns with marked lattice fringe spacings and proper planes

of TiO₂:Nd thin films deposited by LPHTRS process (Fig. 2a) the visible lattice fringe spacings are equal to 3.55 Å and 2.43 Å which corresponds well with the separation between the $(0 \ 1 \ -1)$ and $(0 \ 1 \ 3)$ planes of the TiO_2 -anatase phase with the [1 0 0] zone axis, respectively. In Figure 2b HRTEM image of the coating deposited by HERMS process consisted of the lattice fringe spacings of 3.25 Å and 2.54 Å that comply with the separation of the $(1\ 1\ 0)$ and $(1\ 0\ 1)$ planes of the TiO_2 -rutile phase with the [1 - 1 - 1] zone axis. Additionally, the FFT diffraction patterns presented as insets in HRTEM images were taken from the crystallites free from defects that allowed the precise evaluation of the lattice fringe spacings and angles between them.

The requirement needed for the occurrence of the photocatalytic phenomenon of TiO₂ is the presence of ultraviolet radiation. The activity of titania strongly depends on the quantity of generated electrons and holes, while the effectiveness of this process is determined by the possibility of separation, recombination, capturing and transfer of the carriers on the TiO_2 surface⁴⁷. The analysis of photocatalytic activity of materials based on titanium dioxide is often assessed on the basis of phenol decomposition measurements. The mechanism of phenol decomposition can be divided on direct decay on water, carbon dioxide and also on indirect products, that in further reactions are oxidized to harmless simple compounds. Therefore, on the surface of titania thin films there are two competitive processes and the decomposition of indirect compounds lasts considerably longer than decomposition of phenol itself. Hence, the overall decomposition is conditioned by the time of the degredation of indirect compounds.

The measured results of the phenol decomposition by $TiO_2:Nd$ (1.0 at. %) are shown in Figure 3. In the case of the coatings with rutile structure, it was found that after 5 hours of the photocatalysis process 3.4% of phenol was decomposed. In turn, thin films doped with the same amount of neodymium, however with anatase structure, had higher photocatalytic activity and after 5 hours of the process up to 5.1% of phenol was decomposed. Higher photocatalytic activity of films with anatase structure structure as-compared to the rutile one is consistent with the results presented in the literaturee. eg. ^{14, 48–50}.

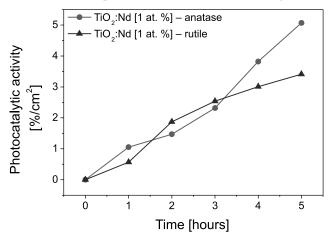


Figure 3. Photocatalytic activity of prepared TiO₂:Nd [1.0 at. %] thin films with anatase and rutile structures based on phenol decomposition under UV-Vis light exposure

In photocatalysis the important factor is the wettability and the level of the adsorption of hydroxyl groups and water molecules by the film surface, especially in the case of thin-film coatings exposed to direct contact with moisture (e.g. rain) or located in an underwater environment. For this reason the wettability and adsoroption properties measurements were performed for prepared thin films. The wettability measurements were evaluated based on the contact angle of deionized water, ethylene glycol and ethanol droplets. For both thin films the contact angle of deionized water was below 90°, therefore these coatings were hydrophilic. The contact angle was slightly higher for the film with anatase structure than in the case of rutile. For the ethylene glycol and ethanol measurements negligible differences were also observed - this can testify about the similar wettability of TiO_2 :Nd thin films with various crystal structure. The images of droplets at the surface of thin films are presented in Figure 4.

Wettability of different solid materials can be characterized by the method proposed by Zisman^{46, 51}. Using a series of liquids with different surface tensions, a graph of $\cos\theta$ vs. γ is determined. Critical surface tension equals the surface tension at which the plotted line intersects 1.0. It is often interpreted as the highest value of surface tension of a liquid that will completely wet the solid surface. The analysis of wettability measurements showed that critical surface tension for both measured thin films was similar and equal to ca. 20.2 mN/m (Fig. 4).

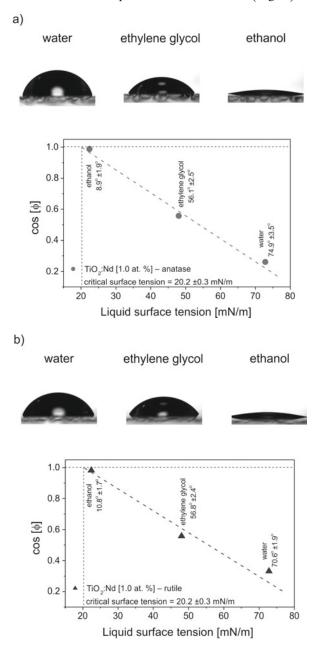


Figure 4. Deionized water, ethylene glycol and ethanol droplet images and Zisman plots presenting results of critical surface tension investigations of TiO₂:Nd [1.0 at. %] thin films with: a) anatase and b) rutile crystal phases

Wettability is not the only factor that influences the photocatalytic activity of thin films. In this case, the development of surface roughness (RMS - Root Mean Square) or ability to attach hydroxyl groups and water

molecules are also crucial. In order to investigate the surface topography and its roughness, the AFM measurements were carried out. In Figure 5 the surface images of TiO_2 :Nd (1.0 at. %) thin films are presented. The measurements showed that in both films the distribution of nanocrystalline grains was homogenous. However, anatase thin films exhibited much smaller grains than rutile coatings. The height distribution of grain size (Fig. 6) showed the diversification of the thin film surface. The RMS roughness was slightly higher for thin films with anatase structure as-compared to the rutile one.

a)

the separation energy width equal to 5.8 eV between the Ti2p_{3/2} and Ti2p_{1/2} peaks indicate the Ti⁴⁺ oxidation state, which in turn testifies to the formation of TiO₂⁵². The position of Nd4d peaks at XPS spectra indicates the formation of the Nd³⁺ oxidation state and therefore presence of neodymium oxide (Nd₂O₃)⁵². Results obtained for O1s oxidation state revealed that on the surface of both thin films water molecules (H₂O_{ads}) and hydroxyl radicals (OH⁻) were adsorbed. The summarized level of adsorbed water molecules and hydroxyl radicals (to the whole signal obtained for oxygen) was equal to ca.

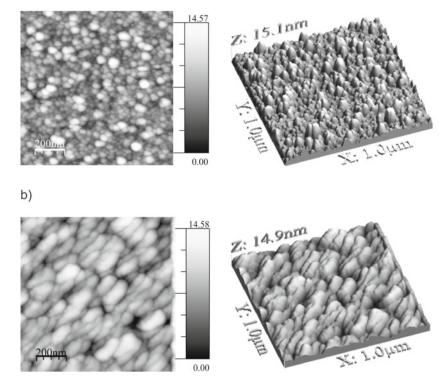


Figure 5. AFM images of TiO₂:Nd [1.0 at. %] thin films with: a) anatase and b) rutile crystal phases

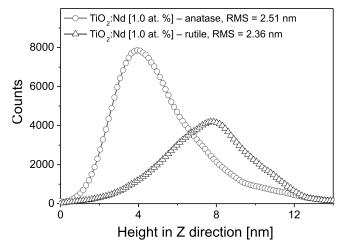


Figure 6. Height distribution of grains in Z direction of TiO₂:Nd [1.0 at. %] thin films with their surface roughness (RMS factor)

XPS studies were performed to determine the chemical states and ability to adsorb hydroxyl groups and water molecules on the surface of prepared TiO_2 :Nd thin films. In Figure 7 the Ti2p, O1s and Nd4d core level spectra are presented for coatings with anatase and rutile structures. The position of the Ti2p doublet and 57.6% and 35.3% for thin films with anatase and rutile structures, respectively.

TiO₂:Nd thin films with anatase and rutile structures are well transparent in the visible light range and the transparency level is similar for both samples. Transmittance spectra of prepared coatings are shown in Figure 8. Addition of Nd dopant to titanium dioxide does not deteriorate its high transparency. The cut-off wavelength of the film with anatase structure is shifted towards shorter waves as-compared to the rutile one and equal to 330 nm and 352 nm, respectively. Ability to absorb UV light by thin films is crucial for photocatalytic activity. Taking into consideration the transmittance spectra of TiO₂:Nd (1.0 at. %), the absorption spectra were determined using Bouger-Lambert-Beer equation⁵³:

$$\alpha(\lambda) = -\frac{\ln[T_{\lambda}(\lambda)]}{g}$$
(1)

where: a – absorption coefficient, g – thin film thickness. The absorption spectra of TiO₂:Nd thin films with anatase and rutile structure are presented in Figure 9. In the background the spectrum of UV-Vis lamp that was used during the photocatalysis process is also shown. The cut-off wavelength of TiO₂:Nd (1.0 % at.) coatings with anatase structure is shifted towards the UV region

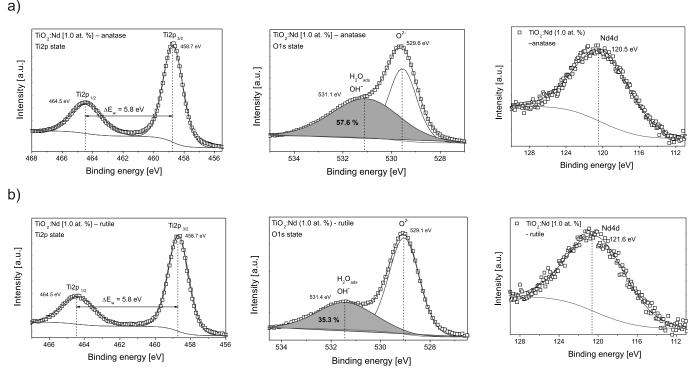


Figure 7. XPS spectra of Ti2p (left side), O1s (centre) and Nd4d (right side) core levels for TiO₂:Nd [1.0 at. %] thin films with: a) anatase and b) rutile crystal phases

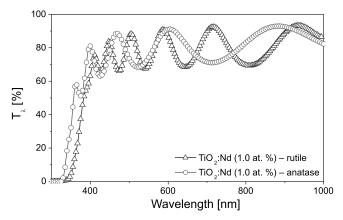


Figure 8. Comparison of transmittance spectra of TiO₂:Nd [1.0 at. %] with anatase and rutile structure

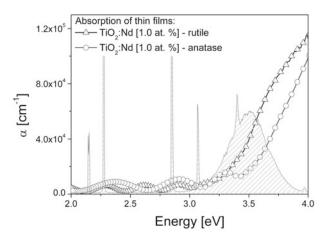


Figure 9. Absorption spectra of TiO₂:N [1.0 at. %] thin films with anatase and rutile structure in comparison with the spectrum of UV-Vis lamp that was used for photocatalytic experiment

as compared to the rutile films and therefore absorb less light. Titanium dioxide is the material with allowed indirect transitions that can be determined from the Tauc equation⁵⁴. Such plots are shown in Figure 10. The calculated optical band gaps for thin films with anatase and rutile structures are equal to 3.23 and 3.11 eV, respectively. Obtained results are in good correlation with the cut-off wavelength measurements, while the shorter and simultaneously the larger optical band gap were determined for TiO₂:Nd thin films with anatase structure.

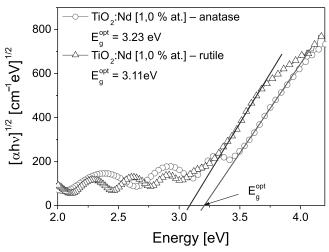


Figure 10. Tauc plots for allowed indirect transitions in the function of energy of TiO_2 :Nd thin films. E_g^{opt} stands for the value of optical band gap

The recombination rate of the investigated TiO₂:Nd coatings should inversely affect the photoluminescence spectra in comparison to photocatalytic activity. Jing et al.⁵⁵ stated that the photoluminescence should be higher for materials with lower photocatalytic activity. In Figure 11 the photoluminescence spectra of TiO₂:Nd thin films with anatase and rutile structure were compared

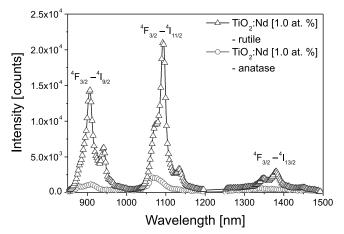


Figure 11. Comparison of photoluminescence spectra of radiative recombination of carriers between various energy levels for TiO₂:Nd [1.0 at. %] thin films with different crystal phases

for electron transitions between ${}^{4}F_{3/2} - {}^{4}I_{9/2}$, ${}^{4}F_{3/2} - {}^{4}I_{11/2}$, ${}^{4}F_{3/2} - {}^{4}I_{13/2}$ energy levels. The much higher photoluminescence intensity was observed for thin films with rutile structure, what is in good correlation with Jing theory. Such difference in the photoluminescence intensity caused by energy transfer efficiency could be explained by different host-to-Ln³⁺ energy transfer pathway. In the case of investigated titania with anatase, phase broad emission peaks were found which are characteristic for self-trapped exciton defects⁵⁶. Therefore, due to these defects, the competitive energy transfer processes from the TiO₂-anatase conducting band to the self-trapped exciton defects and Nd³⁺ energy states may appear and for this reason lower photoluminescence intensity can be observed⁵⁶.

Presented results have shown that thin films with anatase structure exhibited better photocatalytic activity than rutile coatings. Both thin films had very similar wettability and were well transparent in the measured wavelength range, while a wider optical band gap was determined for anatase film. Such a result indicates that the anatase coating absorbs less light in the UV region and therefore should exhibit a lower photocatalytic activity. However, surface topography investigations performed by AFM revealed that in the case of anatase films more developed surface with significantly smaller grains and higher RMS roughness was obtained. This can suggest that a larger active surface area was taking part in the photocatalysis process for anatase coatings. Also XPS measurements testify to the very good water adsorption of anatase thin films surface (ca. 30% higher in comparison to rutile films) that could also lead to the improvement of the photocatalytic activity. Additionally, the photoluminescence measurements pointed out that in the case of TiO₂:Nd thin films with rutile structure, the efficiency of electron/hole recombination is much greater than in the case of anatase film. Therefore, the emission intensity is higher, however simultaneously the photocatalytic activity is lower. It seems that this could be a contributing factor as to why the photocatalytic activity of the anatase coating was improved as-compared to the rutile one.

SUMMARY

To obtain various crystalline structures of titanium dioxide thin films doped with 1.0 at. % of neodymium, two different magnetron sputtering processes were used. Thin films of anatase phase were prepared using Low Pressure Hot Target Reactive Sputtering process, while rutile films were obtained by High Energy Reactive Magnetron Sputtering Process. The research of the microstructure revealed the fibrous structure of the anatase thin film and densely packed columnar structure in case of rutile one.

Photocatalytic activity of the thin films in the anatase phase was greater as-compared to the rutile coatings. The phenol decomposition after 5 hours of the photocatalytic process was equal to 5.1% and 3.4% for films with anatase and rutile phases, respectively. The wettability and surface roughness of these coatings were similar, however anatase thin films exhibited greater ability to adsorb hydroxyl groups and water molecules on the surface.

Optical measurements showed that both thin films were well transparent with the transmission factor in the visible wavelength range equal to ca. 80%. The cut-off wavelength was shifted towards the ultraviolet wavelength for thin films with anatase phase. Therefore the larger optical energy band gap was obtained for the film with anatase structure and was equal to 3.23 eV, while for rutile was 3.11 eV. Both thin films due to their exceptional transparency and photocatalytic activity could be used for e.g. self-cleaning: windows, touch panels or glasses.

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