

PRECISE ASSESSMENT OF PERFORMANCE OF INDUCTION MOTOR UNDER SUPPLY IMBALANCE THROUGH IMPEDANCE UNBALANCE FACTOR

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This paper presents precise assessment of steady-state performance of induction motor (IM) operating under voltage unbalance. The variation of two voltage unbalance factors viz. complex voltage unbalance factor (CVUF) and impedance unbalance factor (IUF) with positive sequence voltage component, reveals that degree and manner of unbalance in supply voltage is exactly reflected in CVUF and IUF, respectively. On this basis, it is shown that for the precise assessment of IM performance, knowledge of both, manner (IUF) and degree (CVUF) of unbalance is important. Further, effect of angle of unbalance, on the performance of the IM and voltage pattern is analyzed. Results depict high sensitivity of derating factor and peak copper losses to this angle. Thus, it should be included along with unbalance factors for the precise and complete performance assessment of IM.

Key words: complex voltage unbalance factor, derating factor, impedance unbalance factor, induction motor, voltage pattern

1 INTRODUCTION

With the development of the power electronics technology, increase of different types of nonlinear loads, rapid growth in electric railways in some developing country, and uneven distribution of load, power quality (PQ) problem has become a major concern for both electric utilities and customers. Due to poor PQ, billions of dollars are being wasted every year. Voltage unbalance exist due to the incomplete transposition of transmission line, unbalance load, open delta transformer connection, uneven distribution of single phase load [1–6] is now considered as the one of the most important aspect of PQ disturbances. Based on ANSI report presented in [3], the 32 % of the USA electrical distribution system have degree of voltage unbalance lies between 1 and 3 % whereas 2 % of distribution system have degree of unbalance more than 3 %. According to IEC recommendation the maximum voltage unbalance of supply system should be not more than 2 % [3, 4] where as NEMA MG1-1993 “Motor and Generator” recommends that for voltage unbalance greater than 1 %, Induction motor (IM) should be derated [3]. The voltage unbalance in three phase system is practically impossible to be obviated due to their inherent causes and further this problem is aggravated by the fact that the presence of small unbalance in three phase system will causes the unproportional unbalance in the line current [5]. So there is a need for researchers to pay special attention on the topic of voltage unbalance.

Voltage unbalance has very considerable effect on three phase IM, including torque pulsation, overheating, derating, pulsation in peak current, inefficiency *etc.* The life time estimation of IM, maintenance of the machine *etc*

are directly related to the degree and manner of unbalance to which machines are to be subjected [5] and hence study of IM under unbalance condition of supply voltage is one of the most important topics in research. A lot of researches have been reported in the literatures regarding adverse effect of voltage unbalance on IM. The effects of voltage unbalance on winding temperature, efficiency, power factor, total loss, derating factor of IM *etc* are well documented in [5–11]. In [5], eight conditions of voltage unbalances are considered and effects of unbalance on the performance of IM are analyzed with same voltage unbalance factor (VUF). It is shown that for the same value of VUF, the efficiency and power factor of the machine depends on the manner of unbalance. This paper concludes that it is essential to consider positive sequence voltage for accurate assessment of voltage unbalance. In [6], discussion about the efficiency of IM under unbalance condition has been presented while [7], discusses about the derating factor, winding temperature and thermal loss of life on fed IM operating with over and under voltage along with differences in definition of voltage unbalance. The effect of voltage unbalance winding temperature of IM has been discussed in [8–10]. To analyze the effect of voltage unbalance in phase frame, a new method has been developed in [11]. This method reduces the complexity arises in transforming phase to sequence component and vice versa and also investigates the increases in losses under unbalance supply voltage condition. In all of these aforementioned studies [5–11], either the percent voltage unbalance (PVU) defined by NEMA [12], or VUF defined by IEC [13] have been consider for the degree of unbalance. The PVU is ratio of maximum deviation of line voltage from average voltage, to the average of three line

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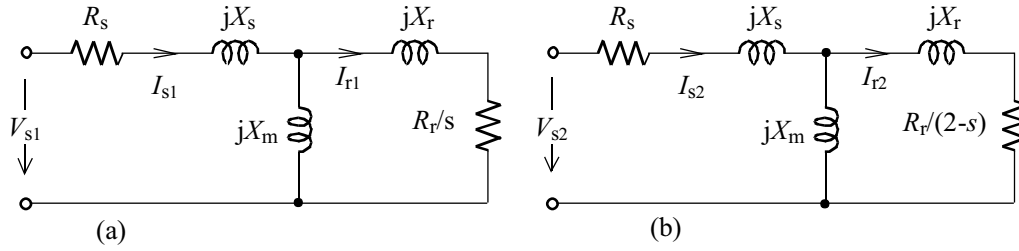


Fig. 1. Single phase equivalent circuit of the motor: (a) – positive sequence circuit, (b) – negative sequence circuit

voltage where as VUF is the ratio of absolute value of negative to positive sequence voltage [5], [12–14]. Phase angle of unbalance has been ignored in these researches while phase angle play vital role in determination of the pattern of voltage, calculation of peak loss, phase loss, derating factor, maximum allowable slip for worst and favourable condition. Thus, the effect of supply voltage unbalance on steady state performance of IM becomes far from the real analysis in these researches. Further contributions toward steady state performance analysis of IM under unbalance supply voltage condition were made in [1], [15–17]. These literatures modified the IEC definition of voltage unbalance by including the phase angle unbalance factor in evaluating the effect of unbalance on the steady state performance of the IM. All of these literature declared that the complete definition of voltage unbalance is not VUF but CVUF. In [15], special emphasis is placed on the effect of the angle of CVUF on the performance of IM and most favourable and worst case for derating factor and maximum allowable slips are discussed whereas in [16] normalized negative sequence component is used in substitution to VUF to judge the effect of unbalance. Different definitions of the voltage unbalance are analyzed in [17] and by using these definitions the variation of the unbalance voltage in 3-D locus are plotted to reduce the range of the variation of terminal voltages. A new coefficient of unbalance is defined in [1] and it is shown that coefficient of unbalance along with CVUF can be applied for precise analysis of the performance of IM under unbalance supply voltage condition; further stress is given on the angle of CVUF to determine the accurate value of derating factor. In fact, IEC definition of voltage unbalance is not sufficient to assess precisely the effect of supply voltage unbalance on the steady state performance of the IM, as it describes only the magnitude, whereas heating of the motor and pattern of the voltage is also affected by the angle of positive and negative sequence voltage components. Thus to make more precise and complete assessment of the performance of IM, angle of unbalance should be included. Some of the aforementioned studies discussed above, consider both the magnitude and angle of unbalance factor and emphasized that the magnitude and angle of unbalance factor are sufficient for complete and precise assessment of the performance of the IM in the condition of unbalance.

This paper shows that, only magnitude and angle of unbalance factor, *ie* CVUF is not sufficient to assess the

performance of the IM precisely, there is also need to define the manner of unbalance or the conditions of the unbalance. It is shown that for a fixed value CVUF, the accurate calculation of efficiency, power factor, losses etc is not possible, exact voltage unbalance condition is also needed for it. Thus for the complete and precise assessment of the influence of unbalance voltage, one more factor should be included in addition to CVUF. In this paper magnitude of IUF, with CVUF are taken into consideration for the precise assessment of influence of unbalance. Further special emphasis is placed on the effect of angle of unbalance on derating factor, peak copper loss and pattern of the voltage. The relation between IUF & positive sequence component voltage has been also discussed. Discussion result in, magnitude of IUF is the measurement of the manner of unbalance where as magnitude of CVUF is the measurement of the degree of unbalance. The symmetrical component theory with MATLAB simulation is used to investigate the performance analysis of IM.

2 SYMMETRICAL COMPONENT ANALYSIS OF IM AND PATTERN OF UNBALANCE VOLTAGE

Disturbance of positive sequence voltage due to zero and negative sequence voltage can be considered as voltage unbalance and under- or over-voltage is the consequence of it. Since the IM are connected either in star without neutral or in delta so the zero sequence component is absent and hence negative sequence component becomes the primary cause of voltage unbalance [15]. Hence it can be said that voltage unbalance is a superposition of negative sequence voltage over positive sequence voltage. The result of superposition decides the condition of over and under voltage. If positive sequence component of voltage disturbed in such a way that its magnitude become less than one (in pu) then this event is under-voltage whereas for more than one (in pu), the condition is over-voltage [18].

2.1 Symmetrical Component Analysis

Positive and negative sequence equivalent circuit of IM under the unbalance supply voltage condition is shown in Fig. 1. In the figure R_s and X_s are the stator resistance and reactance, R_r and X_r stator referred rotor resistance and reactance, X_m the magnetizing reactance, I_{s1} and

I_{r1} the stator and rotor positive sequence current, I_{s2} and I_{r2} the stator and rotor negative sequence voltage and s is the slip of the IM respectively.

Let V_{sa} , V_{sb} , and V_{sc} be a set of line to neutral voltages of stator windings and V_{s0} , V_{s1} and V_{s2} are the zero, positive and negative sequence component voltage respectively. The relation between unbalance phasor and sequence component is given by (1) and (2), [1, 15]

$$V_{s1} = \frac{V_{sa} + aV_{sb} + a^2V_{sc}}{3} = V_{s1}\angle\beta, \quad (1)$$

$$V_{s2} = \frac{V_{sa} + a^2V_{sb} + aV_{sc}}{3} = V_{s2}\angle\beta + \theta_v. \quad (2)$$

where ‘ a ’ is the Fortescue operator equal to $1.0 \exp(j2\pi/3)$ [15], β and $\beta + \theta_v$ are the angle of positive and negative sequence voltage component respectively.

The input sequence impedances for the positive and negative sequence circuit are given by (3) and (4) respectively

$$Z_{s1} = (R_s + jX_s) + \frac{(\frac{R_r}{s} + jX_r)jX_m}{\frac{R_r}{s} + j(X_m + X_r)} = Z_{s1}\angle\varphi_p, \quad (3)$$

$$Z_{s2} = (R_s + jX_s) + \frac{(\frac{R_r}{2-s} + jX_r)jX_m}{\frac{R_r}{2-s} + j(X_m + X_r)} = Z_{s2}\angle\varphi_n \quad (4)$$

where φ_p and φ_n are the angle of positive and negative sequence input impedances respectively.

Symmetrical component of the stator current can be found with help of (1)–(4) and given by

$$I_{s1} = \frac{V_{s1}}{Z_{s1}} = I_{s1}\angle\beta - \varphi_p, \quad (5)$$

$$I_{s2} = \frac{V_{s2}}{Z_{s2}} = I_{s2}\angle\beta + \theta_v - \varphi_n. \quad (6)$$

With the help of symmetrical component analysis [1, 15], unbalance phase current of the stator winding can be given by

$$I_{sa} = I_{s1} + I_{s2}, \quad (7)$$

$$I_{sb} = a^2I_{s1} + aI_{s2}, \quad (8)$$

$$I_{sc} = aI_{s1} + a^2I_{s2}. \quad (9)$$

The ratio of V_{s2} to V_{s1} in phasor form is termed as CVUF [1, 4, 15–17] and is given as

$$CVUF = \frac{V_{s2}}{V_{s1}} = k_v\angle\theta_v \quad (10)$$

where k_v and θ_v are the magnitude and angle of CVUF for phase voltage respectively. The angle, θ_v indicates the angle by which V_{s2} leads the V_{s1} , and it is very important parameter to decide the pattern of voltage under different condition of unbalance whereas k_v is the measurement of the intensity of severity. Normally the V_{s1} is very close to unity in per unit value and correspondingly

k_v will be very close to V_{s2} . In other we can say that k_v is the measurement of V_{s2} . For this reason the IEC definition of voltage unbalance also referred as true value compared to NEMA definition [7]. However the NEMA definition for the measurement of degree of unbalance is convenient to field measurement because it does not require the phase of the unbalance voltages but for physical interpretation of the cause of voltage unbalance IEC definition is very useful. To measure the level of degree of unbalance of stator current some literature also discussed complex current unbalance factor (CCUF) [15, 19]. CCUF for stator is given by

$$CCUF = \frac{I_{s2}}{I_{s1}} = k_{cs}\angle\theta_{cs} \quad (11)$$

where θ_{cs} and k_{cs} are the angle and magnitude of CCUF for stator. The relation between k_{cs} & k_v , and θ_{cs} & θ_v can be easily deduced with help of (5) and (6) and given as

$$k_{cs} = \frac{k_v}{k_z}, \quad (12)$$

$$\theta_{cs} = \theta_v + \phi_p - \phi_n \quad (13)$$

where k_z is the ratio of input negative sequence (Z_{s2}) to positive sequence impedance (Z_{s1}) and considered as the sensitivity of k_v to k_{cs} .

The value of the stator phase current as a function of k_{cs} and θ_{cs} can be easily deduced with the help of (7)–(9) and given as

$$|I_{sa}| = |I_{s1}|\sqrt{1 + k_{cs}^2 + 2k_{cs}\cos(\theta_{cs})}, \quad (14)$$

$$|I_{sb}| = |I_{s1}|\sqrt{1 + k_{cs}^2 + 2k_{cs}\cos(\theta_{cs} - \frac{2\pi}{3})}, \quad (15)$$

$$|I_{sc}| = |I_{s1}|\sqrt{1 + k_{cs}^2 + 2k_{cs}\cos(\theta_{cs} + \frac{2\pi}{3})}. \quad (16)$$

Similarly rotor phase current can be calculated with the help of Fig. 1 and symmetrical component analysis in term of magnitude (k_{cr}) and argument (θ_{cr}) of rotor CCUF and given as

$$|I_{ra}| = |I_{r1}|\sqrt{1 + k_{cr}^2 + 2k_{cr}\cos(\theta_{cr})}, \quad (17)$$

$$|I_{rb}| = |I_{r1}|\sqrt{1 + k_{cr}^2 + 2k_{cr}\cos(\theta_{cr} - \frac{2\pi}{3})}, \quad (18)$$

$$|I_{rb}| = |I_{r1}|\sqrt{1 + k_{cr}^2 + 2k_{cr}\cos(\theta_{cr} + \frac{2\pi}{3})} \quad (19)$$

where k_{cr} and θ_{cr} are given as

$$k_{cr} = \left| \frac{I_{r2}}{I_{r1}} \right|, \quad (20)$$

$$\theta_{cr} = \theta_v + \varphi_p - \varphi_n + \theta_2 - \theta_1 \quad (21)$$

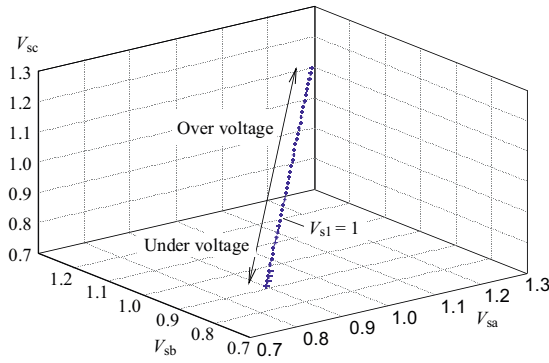


Fig. 2. The 3-D locus of line voltages for different value of V_{s1} and $\theta_v = 45^\circ$, $k_v = 3\%$

where θ_1 and θ_2 are the argument of X_1 and X_2 given as

$$X_1 = \frac{jX_m}{\frac{R_r}{s} + j(X_m + X_r)}, \quad (22)$$

$$X_2 = \frac{jX_m}{\frac{R_r}{2-s} + j(X_m + X_r)}. \quad (23)$$

Stator copper loss (P_{loss}) and rotor copper loss (P_{rloss}), output power (P_{out}), output torque (T_{out}), complex input power (S_i), input power (P_{in}), reactive input power (Q_i), efficiency (η), and power factor (pf) in term CCUF, s , and sequence component can be easily obtained with help of above equations and given as

$$P_{\text{sloss}} = 3I_{s1}^2 R_s (1 + k_{cs}^2), \quad (24)$$

$$P_{\text{rloss}} = 3I_{r1}^2 R_r (1 + k_{cr}^2), \quad (25)$$

$$P_{\text{out}} = 3I_{r1}^2 R_r \left[\left(\frac{1}{s} - 1 \right) + k_{cr}^2 \left(\frac{1}{2-s} - 1 \right) \right], \quad (26)$$

$$T_{\text{out}} = \frac{3I_{r1}^2 R_r}{\omega_s} \left[\frac{1}{s} - \frac{k_{cr}^2}{2-s} \right], \quad (27)$$

$$S_i = (V_{s1} I_{s1}^* + V_{s2} I_{s2}^*), \quad (28)$$

$$P_i = \text{Re}(S_i), \quad (29)$$

$$Q_i = \text{Im}(S_i), \quad (30)$$

$$\% \eta = \frac{P_{\text{out}}}{P_i} \times 100, \quad (31)$$

$$pf = \cos \left(\tan^{-1} \frac{Q_i}{P_i} \right). \quad (32)$$

2.2 Pattern of Voltage under the Condition of Supply Voltage Unbalance

With the help of (1) and (2), and considering V_{s1} as reference, we can easily derived the relation between three phase voltage and given by

$$V_{sa} = AV_{sb} + BV_{sc} \quad (33)$$

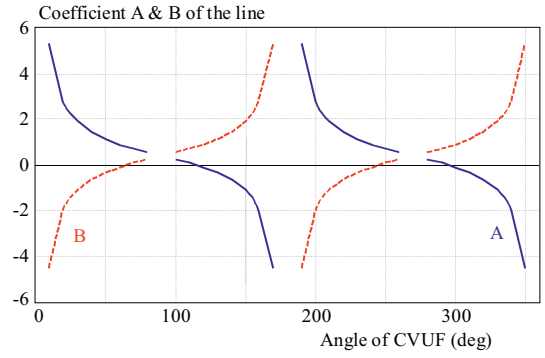


Fig. 3. Variation of A and B with angle of unbalance

where A and B are the coefficient of phase voltage given by

$$A = \frac{\tan \theta_v + \sqrt{3}}{2 \tan \theta_v}, \quad (34)$$

$$B = \frac{\tan \theta_v - \sqrt{3}}{2 \tan \theta_v}. \quad (35)$$

The locus of three phase voltages in 3-D plane for fixed value of k_v and θ_v is shown in Fig. 2.

From Fig. 2, it can be observed that there are number of unbalance condition for fixed value of k_v , θ_v . As the value of k_z changes from 15% to 30%, the condition of unbalance or the pattern of voltage changes from under voltage to overvoltage. It is also important to note that there are number of unbalance condition included in under- and over- voltage which are termed as mixed under- and over- voltage, discussed in [20]. Thus we can conclude at this stage that only the k_v , θ_v are not enough for the precise assessment of the performance of the IM in unbalanced supply voltage condition.

Figure 3 shows the variation of the coefficient A and B . It can be observed that the angle θ_v plays very important role to find out the slope of straight line obtained in 3-D plane. As the value of θ_v changes the slope of the line will change. Hence, the angle θ_v is also very important to determine the pattern of voltage, especially for the calculation of the derating factor and peak copper loss of the motor. The role of θ_v in the assessment of the performance of motor is discussed in next section.

3 STEADY STATE ANALYSIS OF THREE PHASE IM UNDER SUPPLY VOLTAGE UNBALANCE

The ill effects of the unbalance voltage on the IM stem from the fact that the unbalance voltage breaks down in positive and negative sequence components of voltage. The negative sequence component of voltage produces an air gap flux rotating against the rotation of rotor and thus

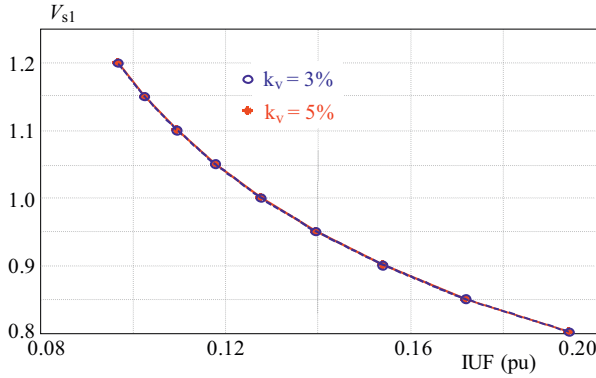


Fig. 4. Variation of positive sequence component of voltage (pu) with k_z (pu)

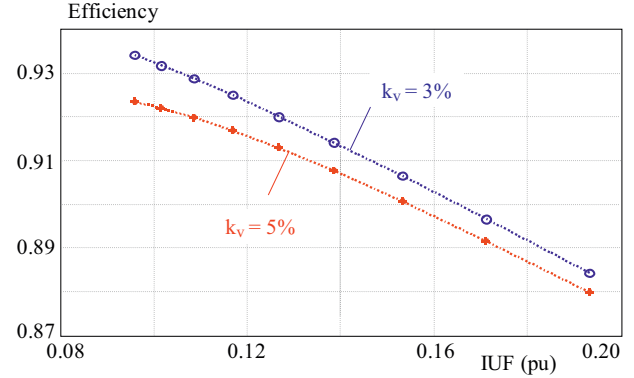


Fig. 5. The variation of the efficiency (pu) with k_z (pu)

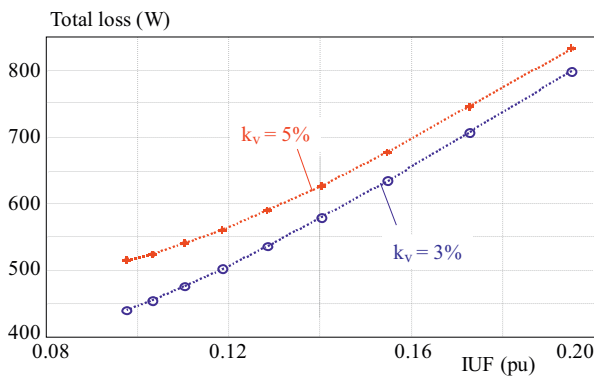


Fig. 6. The variation of total loss of IM with k_z (pu)

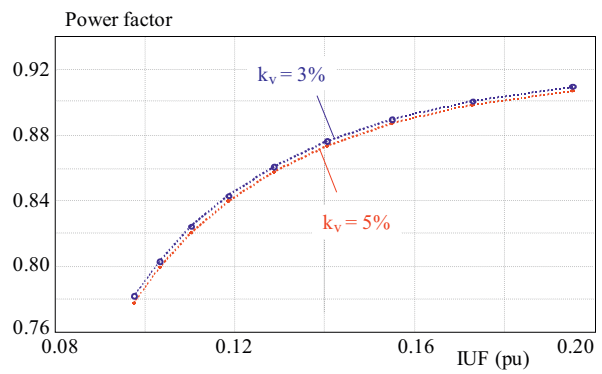


Fig. 7. The variation of power factor of IM with k_z (pu)

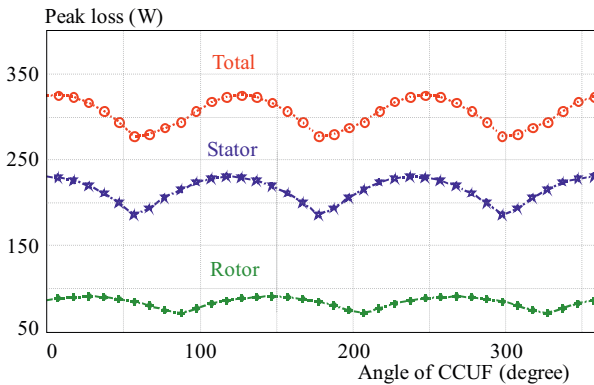


Fig. 8. The variation of peak stator, rotor and total copper loss of IM with θ_{cs} for $k_v = 5\%$ and $k_z = 0.13\text{ pu}$

generated unwanted negative torque. This result in torque pulsation, speed reduction, increases in machine loss, de-rating of the machine *etc.* Additionally due to small value of negative sequence impedance, negative sequence voltage generates large negative sequence current and consequently increases of motor losses and reduction in motor life. It can be observed from Fig. 4, that the positive sequence voltage is exactly reflected in k_z for any value of k_v , and hence it can be consider as the reflection of

positive sequence voltage, which is deciding factor for the condition of unbalance [18].

In this section, k_z , k_v , and θ_v have been taken into consideration for the precise assessment of the performance of IM under different degree and manner of supply voltage unbalance. Further it has been asserted that for precise analysis under condition of unbalance these three factors should be taken into consideration.

3.1 Effect of Supply Voltage Unbalance on Efficiency, Total loss and Power Factor of IM

The variation of the efficiency of IM with k_z for fixed value of k_v is shown in Fig. 5. It can be observed that the large degree of unbalance (k_v) causes large reduction in machine efficiency. Further it can be observed that for the precise evaluation of the efficiency of the motor, k_v alone is not sufficient, for $k_v = 5\%$, the range of efficiency lies between 88.99 % and 92.34 %, the exact value of efficiency can be only evaluated after the knowing of value of k_z . At $k_v = 0.05$ pu, and $k_z = 0.14$ pu the efficiency of the machine can be exactly calculated as 90 %. Thus k_v and k_z simultaneously should be applied for the precise evaluation of the efficiency. Additionally it is observed that in case of over-voltage the efficiency is larger than under-voltage.

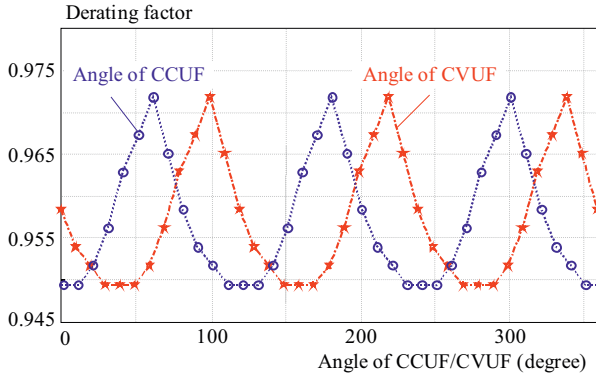


Fig. 9. The variation of derating factor with θ_{cs}/θ_v for $k_z = 0.13$ pu

The variation of total loss, which is summation of stator and rotor copper loss, of the IM under different condition and degree of unbalance with k_z is shown in Fig. 6. It is observed that the total loss in under-voltage condition is more than the over-voltage condition. It can be observed further that for particular value of k_v the total loss again depend on the unbalance condition which is exactly reflected in k_z . So for precise estimation of the performance of the machine it is again seen that k_v alone is not sufficient but k_v combined with k_z gives accurate and unique result.

The variation of power factor with k_z is shown in Fig. 7. It is observed that in case of over-voltage power factor is less in compared to under-voltage. It is further observed that for precise evaluation of voltage unbalance again we have to include k_z with k_v .

Thus from Figs 6-8, it can be concluded that for accurate assessment of the performance of the machine k_z should be included with k_v .

3.2 Effect of Angle of Unbalance on Peak cooper loss, and Derating Factor of IM

From (14)–(19), it can be observed that phase current of stator and rotor circuit depend on both k_{cs} and θ_{cs} . Peak value of three stator and rotor current for fixed value of k_{cs} can be calculated from (14)–(19). For each value of θ_{cs} , there are three stator and three rotor phase current. The maximum of these values at any θ_{cs} will be responsible for peak loss at that θ_{cs} . Figure 8 shows the variation of peak value of stator, rotor, total copper loss with θ_{cs} .

Due to superposition of the V_{s2} of the voltage over V_{s1} , there is net reduction in output torque. If full load is still demanded, then the IM will be force to operate at higher slip, thus increasing the rotor losses and heat dissipation. The simplest protection to overcome this situation is suggested by NEMA standard, is to derate the motor so that it can able to tolerate the extra heating imposed by unbalance supply [7]. The factor by which output power is multiplied to derate the IM is termed as derating factor. Derating factor not only depend on the

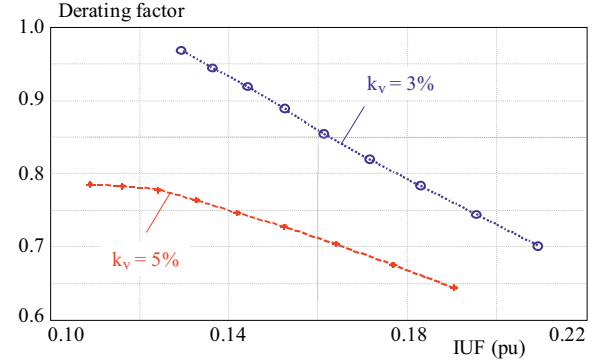


Fig. 10. The variation of derating factor (pu) with k_z (pu) for fixed value of k_v (%)

degree of unbalance but is also depend on the manner and angle of unbalance [3, 15]. The derating factor of the machine is simply the ratio of output power at the slip corresponding to maximum stator current to rated power [15]. The variation of derating factor with θ_{cs} & θ_v for $k_z = 0.13$ (pu) is shown in Fig. 9. It is clearly observed that derating curve with respect to θ_v and θ_{cs} is similar in nature, only there is slight shift. The shift is due the angle of Z_{s1} and Z_{s2} , described in (13). It is further observed that, the k_z is not sufficient to evaluate the value of derating factor, θ_{cs}/θ_v must be included for the precise evaluation of the derating factor.

Figure 10 shows the variation of derating factor with k_z (pu) for fixed value of k_v and θ_v . It can be observed that for large degree of unbalance the derating of the motor is more and further it can be seen that, under voltage require more derating than over-voltage. From Figs. 9 and 10, it can be concluded that for the precise calculation of the derating factor, k_v is not sufficient, k_z and θ_{cs} or θ_v must be included.

4 CONCLUSION

This paper presents the analysis of the steady state performance of IM under supply voltage unbalance condition. The need of insertion of IUF and along with CVUF for accurate assessment of the effect of supply voltage unbalance on the prevailing operation of motor has been asserted. It has been further asserted that to assess all the performance quantities precisely, knowledge of the manner and degree of unbalance is essential. Manner of unbalance is reflects in k_z where as degree of unbalance reflects in the k_v and hence inclusion of both unbalance factor for the precise evaluation of IM is suggested. The influence of the angle of unbalance on derating factor, peak losses and pattern of voltage has been also discussed. It is analytically shown that how the pattern of voltage changes with angle of unbalance. Additionally, the relation between k_z with V_{s1} for fixed value of k_v is also discussed.

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