

DESIGN OF ROBUST PI CONTROLLERS AND THEIR APPLICATION TO A NONLINEAR ELECTRONIC SYSTEM

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The principal aim of the paper is to present a possible approach to the design of simple Proportional-Integral (PI) robust controllers and subsequently to demonstrate their applicability during control of a laboratory model with uncertain parameters through the Programmable Logic Controller (PLC) SIMATIC S7-300 by Siemens Company. The proposed and utilized synthesis consists of two steps. The former one is determination of controller parameters area, which ensures the robustly stable control loop and is based on computing/plotting the stability boundary locus while the latter one lies in the final choice of the controller itself relying on algebraic techniques. The basic theoretical parts are followed by laboratory experiments in which the 3rd order nonlinear electronic model has been successfully controlled in various working points.

Key words: robust control, PI controllers, parametric uncertainty, PLC simatic, nonlinear electronic system

1 INTRODUCTION

The contemporary industrial practice clearly prefers the usage of simple PI or PID controllers with fixed parameters despite the existence of many advanced control technologies. PI/PID compensators are well known, widespread and easily applicable, and so the investigation of an effective method of their tuning is still very topical, especially if these algorithms should be able to cope with various uncertain conditions.

Among an array of existing methods, *eg* [1, 3, 19], a possible way of robust controller design for systems with parametric uncertainty comprises the computation of all robustly stabilizing controllers and then the choice of the final one on the basis of additional user requirements. For the determination of (robustly) stabilizing controllers the method using the stability boundary locus plotting in combination with the sixteen plant theorem, which is described in [16] and [17], can be used. Besides, an elegant tool for control design itself can be adopted from the algebraic approach [7, 11, 20]. This technique is based on general solutions of Diophantine equations in the ring of proper and Hurwitz stable rational functions (R_{PS}). It assumes the utilization of the Youla-Kučera parameterization, and conditions of divisibility in the specific ring. Furthermore, the selected controller can be further tuned through the only scalar tuning parameter $m > 0$.

The paper deals with the problem how to find a simple continuous-time PI controller which robustly stabilizes and appropriately controls an interval plant. The novelty of the proposed approach consists in combination of plotting the stability boundary locus and choosing

the final controller via an algebraic methodology. Furthermore, the work is focused not only on theoretical aspects of the proposed control design but also on its practical application in laboratory conditions. Thus, an electronic laboratory model has been identified as a system with parametric uncertainty and it has been controlled in various operational points using the designed simple PI algorithms which have been realized with the assistance of PLC SIMATIC S7-300.

The paper is organized as follows. In Section 2, basic theoretical background for computation of all possible robustly stabilizing PI controllers is provided. The Section 3 then contains the fundamentals of the algebraic approach to control design in R_{PS} and applied controller tuning rules. Next, the Section 4 briefly describes SIMATIC industrial automation systems used in the practical part. Furthermore, the real laboratory experiments themselves in the meaning of model description, identification, calculations of controllers and real control results are presented in the extensive Section 5. And finally, Section 6 offers some conclusion remarks.

2 COMPUTATION OF (ROBUSTLY) STABILIZING PI CONTROLLERS

This part is intended to provide the basic ideas related to computing the PI controllers which stabilize the closed loop with fixed controlled system and consequently to extend the applicability of this approach for interval plants.

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2.1 Controlled systems with fixed parameters

A potential approach to calculation of stabilizing PI controllers based on plotting the stability boundary locus is proposed in [16] and [17]. The method supposes the classical closed-loop control system with controlled plant

$$G(s) = \frac{B(s)}{A(s)} \quad (1)$$

and PI controller:

$$C(s) = k_p + \frac{k_I}{s} = \frac{k_p s + k_I}{s}. \quad (2)$$

Initially, one needs to use the substitution $s = j\omega$ in the plant (1) and then to decompose the numerator and denominator of this transfer function into their even and odd parts

$$G(j\omega) = \frac{B_E(-\omega^2) + j\omega B_O(-\omega^2)}{A_E(-\omega^2) + j\omega A_O(-\omega^2)}. \quad (3)$$

Thereafter, expressing the closed-loop characteristic polynomial and setting the real and imaginary parts to zero lead to the equations

$$k_p = \frac{\omega^2 A_O(-\omega^2) B_O(-\omega^2) + A_E(-\omega^2) B_E(-\omega^2)}{-\omega^2 B_O^2(-\omega^2) - B_E^2(-\omega^2)}, \quad (4)$$

$$k_I = \omega^2 \frac{A_E(-\omega^2) B_O(-\omega^2) - A_O(-\omega^2) B_E(-\omega^2)}{-\omega^2 B_O^2(-\omega^2) - B_E^2(-\omega^2)}.$$

Simultaneous solution of these relations and plotting the obtained values into the (k_p, k_I) plane result in the stability boundary locus splitting the (k_p, k_I) plane into the stable and unstable regions. Determination of the stabilizing area (or areas) can be done via a test point within each region. However, the proper frequency gridding is a potential problem. That is why this technique can be embellished with the Nyquist plot based approach from [14]. In this refinement, the frequency axis can be separated into several intervals by the real values of ω which fulfil

$$\text{Im}[G(s)] = 0. \quad (5)$$

The stability or instability of a closed loop cannot change inside of frequency intervals resulting from (5) but only on their boundaries. Thus, such intervals are then very helpful for selection of the proper frequency scale.

2.2 Improvement of the method for interval systems

So far, the area of stabilizing controller coefficients for a given plant with only fixed parameters has been analyzed. However, the papers [16, 17] have improved the stabilization also for interval plants using the simple idea of its combination with the sixteen plant theorem [1, 2, 4].

In compliance with this principle, a first order controller robustly stabilizes an interval plant

$$G(s, b, a) = \frac{B(s, b)}{A(s, a)} = \frac{\sum_{i=0}^m [b_i^-, b_i^+] s^i}{\sum_{i=0}^n [a_i^-, a_i^+] s^i}, \quad m < n \quad (6)$$

where $b_i^-, b_i^+, a_i^-, a_i^+$ are lower and upper bounds for numerator and denominator parameters, respectively, if and only if it stabilizes its 16 Kharitonov plants, which are defined as

$$G_{i_1, i_2}(s) = \frac{B_{i_1}(s)}{A_{i_2}(s)} \quad (7)$$

where $i_1, i_2 \in \{1, 2, 3, 4\}$; and $B_1(s)$ to $B_4(s)$ and $A_1(s)$ to $A_4(s)$ are the Kharitonov polynomials for the numerator and denominator of the interval system (6), respectively.

Remind that the Kharitonov polynomials *eg* for an interval polynomial

$$B(s, b) = \sum_{i=0}^m [b_i^+, b_i^-] s^i \quad (8)$$

can be constructed using the upper and lower bounds of interval parameters according to the rule [5]:

$$\begin{aligned} B_1(s) &= b_0^- + b_1^- s + b_2^+ s^2 + b_3^+ s^3 + \dots \\ B_2(s) &= b_0^+ + b_1^+ s + b_2^- s^2 + b_3^- s^3 + \dots \\ B_3(s) &= b_0^+ + b_1^- s + b_2^- s^2 + b_3^+ s^3 + \dots \\ B_4(s) &= b_0^- + b_1^+ s + b_2^+ s^2 + b_3^- s^3 + \dots \end{aligned} \quad (9)$$

In other words, the stabilization of an interval plant follows from the stabilization of all 16 fixed Kharitonov plants. So the final stability region for an interval plant is given by intersection of all 16 related partial regions plotted individually according to the methodology from Subsection 2.1.

3 ALGEBRAIC DESIGN OF A CONTROLLER

The technique from the previous section allows determining the (robustly) stabilizing combinations of proportional and integral gains in PI controller. However, the final choice of the compensator is still an open question. A possible algebraic control design method has been developed in [7] and [20]. It is based on general solutions of Diophantine equations in R_{PS} . Moreover, it supposes the utilization of the known Youla-Kučera parameterization, which allows generating infinite amount of possible stabilizing controllers, while the choice of the final one depends on the desired properties mathematically represented by conditions of divisibility in the specific ring. Anyway, the selected controller can be further tuned. One of advantages of this approach is that behaviour of regulators can be influenced by the only scalar tuning parameter $m > 0$.

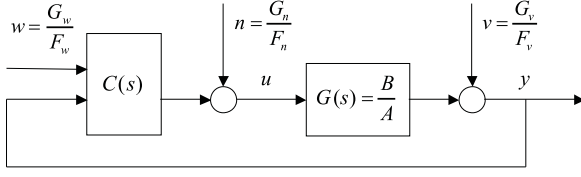


Fig. 1. General feedback control system

The synthesis assumes the description of linear systems in R_{PS} as a ratio of two rational fractions

$$G(s) = \frac{b(s)}{a(s)} = \frac{b(s)(s+m)^{-n}}{a(s)(s+m)^{-n}} = \frac{B(s)}{A(s)}, \quad (10)$$

$$n = \max\{\deg(a), \deg(b)\}, \quad m > 0.$$

As it has been already mentioned, the scalar positive parameter $m > 0$ will be later conveniently used as a “tuning knob”.

A general feedback system is shown in Fig. 1. It represents the classical feedback one-degree-of-freedom (1DOF) control loop for $C(s) = \frac{Q(s)}{P(s)}$. Thus, the signal u is generated according to the control law

$$P(s)u = Q(s)[w - y] + P(s)n. \quad (11)$$

In a two-degree-of-freedom (2DOF) control system, the controller $C(s)$ would consist of two transfer functions $\frac{Q(s)}{P(s)}$ and $\frac{R(s)}{P(s)}$. The control law is then governed by

$$P(s)u = R(s)w - Q(s)y + P(s)n. \quad (12)$$

The objective is to design controller transfer functions such that the feedback system is internally bounded-input bounded-output (BIBO) stable, the reference error tends asymptotically to zero and the disturbances v and n are asymptotically eliminated from the plant output. All transfer functions of the closed control system (Fig. 1) have a common denominator $AP + BQ$. One of the nice and convenient results of the algebraic philosophy is that this denominator should be a unit in the ring R_{PS} . In other words, the term $(AP + BQ)^{-1}$ resides in R_{PS} and the feedback system is BIBO stable. If the elements A and B are coprime in R_{PS} then all stabilizing controllers are given through an arbitrary solution P_0, Q_0 of Diophantine (Bézout) equation

$$AP + BQ = 1 \quad (13)$$

in a parametric form

$$\frac{Q}{P} = \frac{Q_0 - AT}{P_0 + BT} \quad (14)$$

where T varies over R_{PS} while satisfying $P_0 + BT \neq 0$. This relation is known as (Bongiorno-)Youla-Kučera parameterization.

From the practical point of view, it is almost always desirable to ensure more than stability. The most frequent problem is that of reference tracking. Under assumption of zero disturbances ($n = v = 0$), algebraic analysis results in the fact that the tracking error e tends to zero if

- a) F_w divides AP for 1DOF,
- b) F_w divides $(1 - BR)$ for 2DOF.

The last condition gives the second Diophantine equation in the form

$$F_w Z + BR = 1. \quad (15)$$

Details of this method can be found for example in [10–12].

From a set of developed results, this paper takes advantage of the 1DOF nominal tuning rules for the first order plant

$$G(s) = \frac{b_0}{s + a_0}. \quad (16)$$

Further, step-wise reference with $F_w = \frac{s}{s+m}$ and no disturbances are assumed. The Diophantine equation (13) takes the form

$$\frac{s + a_0}{s + m} p_0 + \frac{b_0}{s + m} q_0 = 1. \quad (17)$$

Multiplying by $(s + m)$, comparing coefficients and application of Youla-Kučera parameterization give the general stabilizing solution in the form

$$P(s) = p_0 + \frac{b_0}{s + m} T; \quad Q(s) = q_0 - \frac{s + a_0}{s + m} T \quad (18)$$

where $q_0 = \frac{m - a_0}{b_0}$; $p_0 = a$ and T is free in R_{PS} . The asymptotic tracking for a stepwise reference w will be given by divisibility of $F_w = \frac{s}{s+m}$ and AP . It is achieved for $T = t_0 = -\frac{m}{b_0}$ so that $P(s)$ has a zero absolute coefficient in the numerator. Then inserting t_0 into (18) gives

$$P(s) = \frac{s}{s + m}; \quad Q(s) = \frac{\tilde{q}_1 s + \tilde{q}_0}{s + m}. \quad (19)$$

Thus, the final 1DOF feedback controller has the transfer function

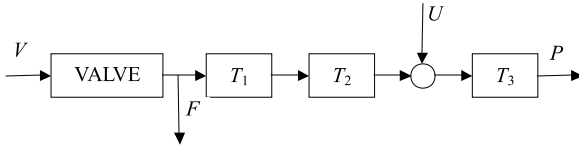
$$C(s) = \frac{Q(s)}{P(s)} = \frac{\tilde{q}_1 s + \tilde{q}_0}{s} = \frac{k_p s + k_I}{s} \quad (20)$$

which is a traditional PI control law governed by

$$u(t) = k_p[w(t) - y(t)] + k_I \int [w(t) - y(t)] dt \quad (21)$$

and where

$$k_p = \frac{2m - a_0}{b_0}; \quad k_I = \frac{m^2}{b_0}. \quad (22)$$

**Fig. 2.** Block scheme of the laboratory model**Table 1.** Relation between k and overshoot

Overshoot (%)	k
0	1.00
1	1.62
2	1.87
3	2.14
4	2.44
5	2.80
6	3.25
7	3.81
8	4.58
9	5.67
10	7.38

A possible way of additional controller tuning via $m > 0$ represents the simple recommendation

$$m = ka_0 \quad (23)$$

where the suitable coefficient k can be chosen from the Tab. 1, which expresses the relation between k and the size of first overshoot of the output (controlled) variable in percentage.

The derivation of presented nominal tuning results and some extending analyses are available *eg* in [8].

4 SIMATIC INDUSTRIAL AUTOMATION SYSTEMS

For the purpose of experiments presented in the paper, not only theoretical background is necessary, but also the technical realization of the algorithms must be in the interest. The SIMATIC systems offer a complex solution for various tasks of industrial automation. From the control engineer point of view, the very attractive devices are included in the SIMATIC series of modular PLCs called SIMATIC S7.

4.1 PLC SIMATIC S7-300

Among the number of products, the controller SIMATIC S7-300 is a reasonable automation system for many process control applications, especially with the focus on manufacturing engineering, both in centralized and distributed configurations. The advantage is the ability to integrate a wide range of powerful CPUs with Industrial Ethernet/PROFINET interface, an array of available modules, integrated technological functions, or fail-safe designs.

4.2 SIMATIC S7 software equipment

The programming of SIMATIC controllers, user's data accessing and, generally, the monitoring and control of real processes is performed through the programming (STEP7) and visualization (WinCC) software. The structure of the user's program is created by the organization block OB35 which represents the main program working in cycles with the period of 100ms. The OB35 then includes all needful function blocks, such as a continuous PID controller (FB41).

The set of several tasks must be done before programming the blocks [6, 13]. It is necessary to create a project, configure a network, define input and output modules and define connections between them. Visualization of the project is realized in the Graphics Designer which is a part of WinCC system. Software tool WinCC gives possibility to define user's own visualization for controlled processes through the various manipulating elements, I/O fields, monitoring windows, etc. WinCC processes all important data from the program STEP7 while the connection between WinCC and STEP7 is linked by tags.

5 REAL LABORATORY EXPERIMENTS

The following section successively presents the practical application of the proposed control design method going from model description, continuing through identification experiments and calculations of two suitable controllers and finishing with the real control results.

5.1 Model description

The laboratory process is a nonlinear electronic model, which includes a 3rd order system with a variable time constant, adjustable from 5 s to 20 s, and a model of nonlinear valve. The block scheme of the laboratory process is in Fig. 2, where signals are denoted as follows

- V – control signal for valve opening (0-10 V)
- F – signal representing the valve opening (0-10 V)
- P – output of the process (0-10 V)
- U – disturbance (0-10 V)

5.2 Identification

The laboratory model was assumed as a black box and it was identified using step response experiments and Strejc method [15] in the form of a transfer function

$$G(s) = \frac{K}{(Ts + 1)^n} e^{-Ds} \quad (24)$$

thus as a n -th order plant with multiple time constant T , gain K and time-delay D . The step responses were measured in various working areas which led to the transfer function with parametric uncertainty

$$G(s, K, T, D) = \frac{K}{(Ts + 1)^2} e^{-Ds} = \frac{K}{T^2 s^2 + 2Ts + 1} e^{-Ds} \quad (25)$$

where K , T , and D are supposed to vary within intervals obtained from minimum and maximum measured values [18]

$$\begin{aligned} K &\in [K^-, K^+] = [0.37, 5.186] \quad (-) \\ T &\in [T^-, T^+] = [9.2909, 11.0733] \quad (\text{sec}) \\ D &\in [D^-, D^+] = [0.966, 2.181] \quad (\text{sec}) \end{aligned} \quad (26)$$

The denominator of model (25) has a single parameter polynomial structure of uncertainty and in the following considerations it will be overbounded by interval polynomial. Thanks to the fact, that the mutual dependence between two polynomial coefficients has been ignored, the potential robust stability tests will provide results with “only” stronger sufficient and not necessary and sufficient condition. However robust stability of the overbounding system would entail that also the original object is robustly stable.

So, the final overbounding interval system with time-delay is

$$G_0(s, K, T, D) = \frac{[K^-, K^+]}{[(T^-)^2, (T^+)^2]s^2 + [2T^-, 2T^+]s + 1} e^{-[D^-, D^+]s}. \quad (27)$$

After insertion, the final transfer function appears

$$G_0(s, K, T, D) = \frac{[0.37, 5.186]}{[86.3208, 122.618]s^2 + [18.5818, 22.1466]s + 1} e^{[0.966, 2.181]s}. \quad (28)$$

5.3 Determination of robustly stabilizing PI controllers

The computation of stabilizing PI controllers has been performed by method described in Section 2. However, first of all, it is necessary to put the transfer function into the form of rational function only, *ie* the time-delay term has to be approximated. For example, the first order Taylor expansion in denominator can be utilized. In other words, the object for future robust stability consideration has now moved from (25) or (27) to (29)

$$\begin{aligned} G_A(s, K, T, D) &= \frac{[K^-, K^+]}{[(T^-)^2, (T^+)^2]s^2 + [2T^-, 2T^+]s + 1} \cdot \frac{1}{[D^-, D^+]s + 1} \\ &= [K^-, K^+] \left\{ [(T^-)^2 D^-, (T^+)^2 D^+] s^3 \right. \\ &\quad + [2T^- D^- + (T^-)^2, 2T^+ D^+ + (T^+)^2] s^2 \\ &\quad \left. + [D^- + 2T^-, D^+ + 2T^+]s + 1 \right\}^{-1}. \end{aligned} \quad (29)$$

The quantification leads to the approximated transfer function

$$G_A(s, K, T, D) = [0.37, 5.186] \left\{ [83.3859, 267.4298]s^3 + [104.2708, 170.9197]s^2 + [19.5478, 24.3276]s + 1 \right\}^{-1}. \quad (30)$$

The first of its 16 Kharitonov plants can be easily determined according to (7)

$$G_{1,1}(s) = \frac{0.37}{267.4298s^3 + 170.9197s^2 + 19.5478s + 1}. \quad (31)$$

Afterwards, the relations (4) have been expressed

$$\begin{aligned} k_p &= 461.9451\omega^2 - 2.7027, \\ k_I &= -722.7832\omega^4 + 52.8319\omega^2 \end{aligned} \quad (32)$$

and then the suitable frequency interval for plotting the stability boundary locus can be computed using (5)

$$\omega \in (0; 0.2704). \quad (33)$$

Simultaneous solving of the equations (32) and plotting the results into the (k_p, k_I) plane leads to a stability region.

An analogical procedure must be repeated generally for all 16 Kharitonov plants. However, in this specific case, only the 8 plants are sufficient, because the numerator of (30) has only the gain with two extreme values and so it is not necessary to construct all 4 Kharitonov polynomials for such numerator.

Anyway, the stability regions for all 8 (16) Kharitonov plants are shown in Fig. 3 (interior areas).

The zoomed intersection of all stability regions from Fig. 3 determines the final area of robustly stabilizing PI controller coefficients for the original interval system (30) — see Fig. 4.

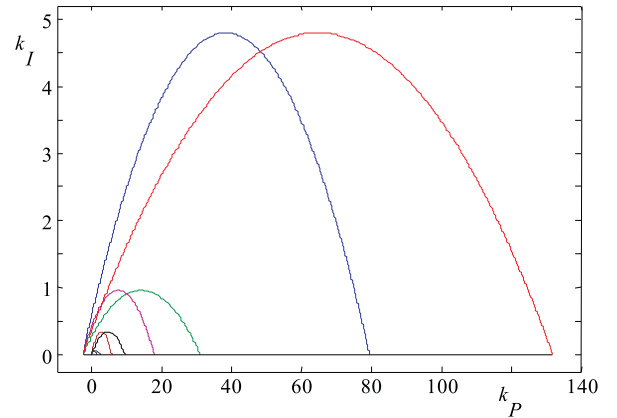


Fig. 3. Stability areas for 8, (16) - Kharitonov plants

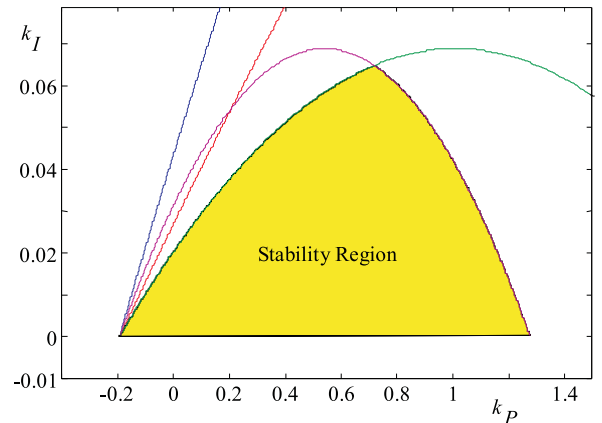


Fig. 4. Stability area for the interval system, (30)

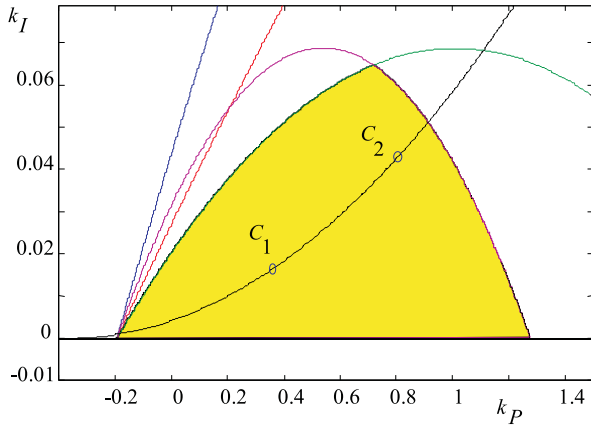


Fig. 5. Position of controllers, (36) - in stability region

5.4 Controller choice

Once the boundaries of robustly stabilizing PI coefficients are obtained, quite natural question emerges. How to find the practically suitable controller from this set?

Here, the algebraic approach from the Section 3 has been applied.

For the sake of appropriate order of the final controller (first order PI type), the controlled system must be described in the form of first order plant. The simple approximation of (29) leads to

$$G_{AA}(s, K, D, T) = \frac{[K^-, K^+]}{[D^- + 2T^-, D^+ + 2T^+]s + 1} = \frac{[0.37, 5.186]}{[19.5478, 24.3276]s + 1} \quad (34)$$

The average values of interval parameters then give the nominal plant (for control design)

$$G_N(s) = \frac{2.778}{21.9377s + 1} = \frac{0.1266}{s + 0.04558}. \quad (35)$$

And now, the requirement of 0% and 1% first overshoot in controlled variable for the case of nominal system has been assumed. Thus, the utilization of appropriate parameters k from Tab. 1 and, moreover, of the equations

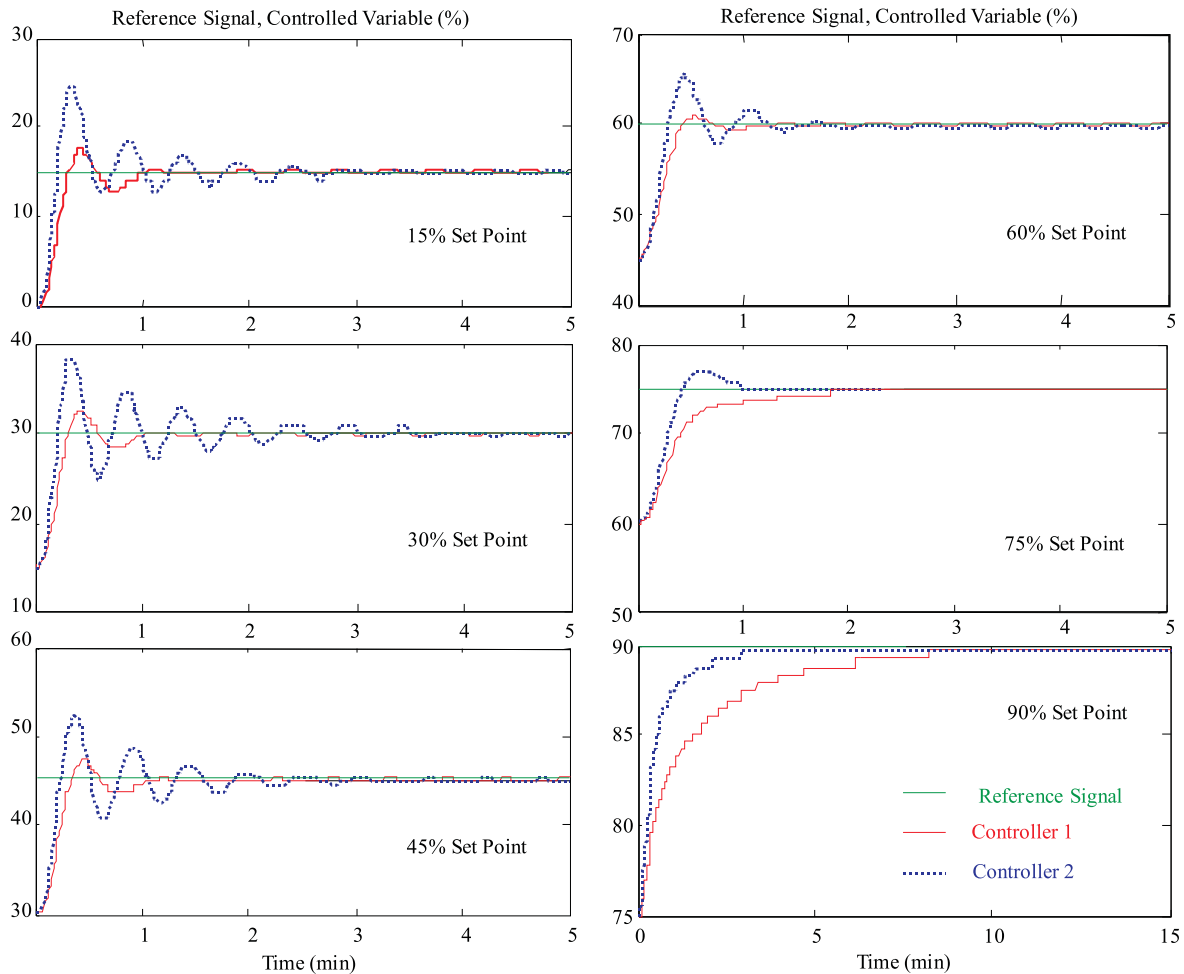


Fig. 6. Real control behaviour for various set points

(23) and (22) result in the controller transfer functions

$$\begin{aligned} 0\% \Rightarrow m = 0.04558 \Rightarrow C_1(s) &= \frac{0.36s + 0.0164}{s} \\ 1\% \Rightarrow m = 0.07384 \Rightarrow C_2(s) &= \frac{0.8065s + 0.0431}{s} \end{aligned} \quad (36)$$

The position of these controllers in the stability region from Fig. 4 is depicted in Fig. 5. They lie on the curve which hypothetically connects the controllers tuned by various parameters m .

5.5 Real control experiments

Six control experiments have been performed for various reference points using the derived controllers and described hardware/software tools. The results are visualised in Fig. 6.

As expected, the prescribed overshoots were not possible to observe in real measurements (they holds true just for the nominal system), because of the plant nonlinearity. As can be seen, the “less aggressive” controller C_1 is very good mainly in middle levels of set points, however it has quite long settling time in higher areas. At the other side, the controller C_2 is much “faster” here, but it is oscillating in the lower set points. All in all, both controllers are able to control the process robustly stable with acceptable behaviour and the choice of the controller would depend on the main operational condition and user consideration.

6 CONCLUSIONS

Proportional-Integral controller design based on combination of plotting the stability boundary locus and the algebraic methodology has been proposed and successfully tested in laboratory conditions. The developed synthesis represents relatively easy and effective way of tuning of controllers for interval plants. On the other hand, coincident robust stability and nominal performance can not be assured in advance but they have to be verified during the design process which can be seen as a drawback of the approach. For the purpose of this paper, the designed algorithms have been technically realized during control of nonlinear 3rd order electronic model assumed as system with parametric uncertainty using control loop on the basis of PLC SIMATIC S7-300.

Acknowledgments

The authors would like to acknowledge the financial support from the Ministry of Education, Youth and Sports of the Czech Republic under Research Plan No. MSM 7088352102 and the Scientific Grant Agency of the Slovak Republic under Grants No. 1/0537/10 and 1/0071/09. A shorter version of this paper has been presented at the 6th IFAC Symposium on Robust Control Design [9].

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Received 6 February 2009

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